

RESEARCH ARTICLE

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## HPV Could be a Potential Factor of Survival in Laryngeal Cancer: a Preliminary Study in Mexican Patients

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### Abstract

**Introduction:** In Head and Neck (HN) cancer, the High-Risk Human Papillomavirus (hr HPV) infection has been associated in about 40% of these tumors. The hr HPV infection is one of the etiological factors of several epithelial tumors; however, its association with the prognosis has not yet been established for patients with Laryngeal Squamous Cell Carcinoma (LSCC). On the other hand, Epidermal Growth Factor Receptor (EGFR) is a molecular marker widely studied in cancer and its overexpression has been associated with poor prognosis in some types of cancer, including the HN cancer. In the present study, we analyzed EGFR expression and HPV detection in a cohort of Mexican patients with LSCC and define their association with clinical-pathological and survival parameters. **Methods:** EGFR expression analysis was performed by immunohistochemistry assay. A tissue array was constructed based on 30 paraffin-embedded tissue samples. HPV detection was performed by PCR. The results were then compared with the clinical-pathological variables and outcome measures (Kaplan Meier and Cox analysis). **Results:** High expression of EGFR was observed in 43% of the samples and 20% of HPV detection. The statistical analyses provided evidence of disassociation between clinical-pathological parameters and EGFR expression, but there was an association with poor prognosis. Interestingly, HPV detection is slightly associated with good prognosis. **Conclusion:** Both, EGFR overexpression and HPV presence could be associated with an unfavorable prognosis in patients with LSCC, independently of other clinical-pathological factors.

**Keywords:** HPV- EGFR- prognosis- laryngeal cancer

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### Introduction

Laryngeal Squamous Cell Carcinoma (LSCC) is the most common cancer type in the Head and Neck (HN) region (Vokes et al., 1993). In 2013, the number of LSCC related-deaths worldwide was estimated as 88,000 representing a health public concern. It has been widely described that the primary risk factor for LSCC is tobacco use, and there was an association between increased incidence with tobacco use since the decade 1940s, and interestingly there has been a steady decrease correlating with its declining use (The Global Burden of Cancer, 2013). The etiological role of High-Risk Human Papillomavirus (hr HPV) infection in the development of LSCC has been recognized, and its frequency in LSCC varies since 0% until 80% of cases.

In a recent meta-analysis about relationship between HPV and larynx cancer, was reported that as in cervical

carcinomas, HPV16 type appears to be the most common genotype, while in nearly 34% for LSCC and 11% for HN tumors (Li et al., 2013). However, the role of hr HPV infection in the development of LSCC has not been clearly defined.

The main prognosis clinical factor in these patients is indicated by the tumor's extension or by its Tumor-Node-Metastasis (TNM) classification (Megwalu et al., 2014). Recently, some molecular markers in LSCC have been described with potential prognostic value (Peralta et al., 2010, Peralta et al., 2012). By instance, it has been widely reported that Epidermal Growth Factor Receptor (EGFR) is overexpressed in a high percentage of HN tumors, similarly to bladder, brain, breast, cervix, colon, esophagus, glioma, lung, ovary, pancreas, and kidney carcinomas (Gaffney et al., 2014). EGFR is a transmembrane receptor with tyrosine kinase activity, its biological function occurs through

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the transmission of molecular cellular signals, participates in essential processes such as cellular proliferation and differentiation, and generally its overexpression correlates with poor prognosis (Maurizi et al., 1992, Blume-Jensen et al., 2001, Gao et al., 2016). EGFR detection is highly important due to its prognosis value in some types of cancer. Several drugs and antibodies have the ability to inhibit the EGFR activity and confer to patients a good treatment alternative (Sundvall et al., 2010, Quon et al., 2001, Levitzki et al., 1995). But in tumors negative to EGFR expression is necessary to delimit potential prognosis factors, mainly due to the fact that a subgroup of laryngeal tumors are negative to EGFR expression. In the present study, EGFR expression and HPV detection were analyzed in a Mexican cohort affected with LSCC to define their correlation with clinical-pathological and survival parameters.

## Materials and Methods

### *Biological samples*

The retrospective and pilot study comprised 30 cases of patients diagnosed with LSCC who were seen at the Head and Neck Service of the Oncology Hospital, National Medical Center (CMN-SXXI) of the Mexican Institute of Social Security (IMSS) during the 2004–2008 period. The histopathological diagnosis was confirmed at the Department of Pathology of the same hospital. The clinical and follow-up data collected focused on age, tumor stage, histological differentiation degree, and treatment type, as well as the Disease-Free Survival (DFS) and Overall Survival (OS) of the patient (48 months of median follow-up time). Previous to conduct the study, the Oncology Hospital's Research and Ethical Committee approved the protocol. According to the procedure, the results do not modify the patients' treatments in its being a safe and non-risk protocol.

### *DNA extraction and HPV detection*

For DNA extraction, the Wizard Genomic kit (Promega, Madison, WI, USA) was used according to the manufacturer's instructions. DNA was purified, then quantified in a NanoDrop Spectrophotometer ND-1000 and resolved in 1% ethidium bromide-stained agarose gel. HPV detection was performed by Polymerase Chain Reaction (PCR) using two sets of primers. First, to identify HPV16 DNA sequences the E6 primers of HPV16 were utilized. These primers amplify a 126 bp fragment of the E6 gene of HPV16 (De Roda et al., 1995). The PCR solution contained 200 ng of tumor DNA, 1X buffer (50 mM KCl, 10 mM Tris-HCl, 0.1% Triton X-100), 2 mM MgCl<sub>2</sub>, 0.2 mM of each dNTP, 50 pmol of each primer, and 2 units of Taq DNA polymerase (Promega) in 50 µl of the final volume. The reaction tubes were placed in a thermal cycler (MJ Research Minicycler) with the following program: one cycle of denaturing at 94°C for 30 s; 40 cycles of denaturation at 94°C for 4 min; annealing at 55°C for 1.5 min, an extension at 72°C for 1.5 min, with a final extension at 72°C for 1.5 min. CaSKI DNA (HPV16+) was employed as positive control, while C33 (HPV-) and lymphocyte DNA were included

as negative controls. Prior to HPV detection, primers for human D-loop mitochondrial region genes were used as internal controls for monitoring DNA quality. The negative samples for the initial PCR were then subjected to second PCR with consensus GP5+/GP6+ primers for the L1 gene of HPV with an initial denaturation of 94°C for 4 min, followed by 40 amplification cycles, 94°C for 1 min, 40°C for 2 min, 72°C for 2 min, and a final extension of 72°C for 10 min. The amplification products were visualized in an ethidium bromide-stained agarose gel.

### *EGFR expression analysis*

EGFR expression analysis was performed by means of immunohistochemistry assay. For this, a tissue array was constructed based on 30 paraffin-embedded tissue samples. Briefly, 4-µl sections were constructed from the blocks and the histological technique was performed to determine tumoral area. Using a semi-automatic tissue microarrayer (Chemicon, Co.), we proceeded to take the tumoral area and place it in a paraffin receptor successively for all samples. Once the tissue array was finished, histological sections were made, which were in turn mounted on 3-AminoPropylTriEthoxySilane (APTES) slides specially prepared for immunohistochemistry staining. Histological samples were then incubated for 1 h at a temperature of 60°C, after being deparaffinized in xylene and rehydrated with ethanol at different degrees successively. Antigenic exposure was performed by incubating the samples in a pot at maximum pressure with DAKO Target Retrieval solution. Endogenous peroxidase was removed with H<sub>2</sub>O<sub>2</sub> at 3%. With the purpose of avoiding unspecified bindings, samples were incubated in a biotin-avidin solution for 30 min (Protein Block Serum-Free, DAKO, Carpinteria, CA, USA), although staining was reduced by incubation with goat serum (1:20) for 60 min. The primary antibody was set in place and incubated for 1 h at room temperature. Later, the secondary antibody was utilized and conjugated with Streptavidin/HRP (LSAB2, DAKO). Counter-staining was performed with hematoxylin. Immunohistochemistry studies were conducted employing rabbit IgG monoclonal antibody (Santa Cruz Biotechnology, Santa Cruz, CA, USA) against EGFR. The antibody was diluted 1:100 in Phosphate-Buffered Saline (PBS) solution. Breast carcinoma EGFR+ samples were used as positive controls. Negative controls were performed with the same tissue but without antibodies or ovary skin. Uninjured laryngeal tissue was also utilized. Cases were considered positive if staining was homogeneous throughout the selected tissue (with at least 80% of tumor cells). In addition to negative controls resulting in negative staining, all positive cases exhibited cytoplasmic staining with membranous accentuation in most of the stained cases. Samples were evaluated as positive or negative for the same pathologist.

### *Statistical and survival analysis*

All analyses were carried out using SPSS ver. 15.0 statistical software. Chi-square test with Yates correction was used to compare qualitative variables. The estimation of the survival distribution of patients with LSCC was done according to the methods of

Kaplan–Meier, and log-rank test were employed to compare the survival curves of two groups ( $p < 0.05$ ). Cox regression analysis was used for univariate analysis to explore the effect of clinical-pathological variables with EGFR overexpression and HPV detection on survival. An interval estimate for the hazard ratio was obtained from 20,000 bootstrap samples. A 95% BCa confidence interval for the hazard ratio for age, smoking, clinical stage, lymph node and EGFR expression was estimated.

## Results

Analysis of the patients' clinical data is summarized in Table 1. This cohort of patients, in advanced stages and with an equal number with positive (13/30) or negative lymph nodes (17/30), was observed. DNA of the same samples was analyzed for the presence of HPV16 (primers yielding a 126-bp fragment) and with consensus primers GP5+/GP6+. HPV16 was the sole type detected in LSCC samples. This primer set allowed detection of HPV DNA in 20% of LSCC samples (6/30). However, HPV-negative status had a higher number of patients (24/30) than HPV-positive (6/30). The results demonstrated no statistical difference.

Forty-three percent of the samples ( $n=13$ ) were strongly immunostained with EGFR. Interestingly no significant difference between EGFR overexpression and lymph-node positivity or HPV-positive status ( $p > 0.05$ ) was observed. In cases of positive cytoplasm, immunostaining was evident with accentuation on the cytoplasmic membrane of tumor cells (Figure 1). No statistical correlation between EGFR expression and smoking or with the patient's age was found. Findings from the study on possible correlation with OS or DFS support the existence of an unfavorable prognosis in patients who presented EGFR overexpression, but this prognosis is favorable in patients with positive HPV.

Table 1. Correlation between EGFR Overexpression and Clinical-pathological Variables in Mexican Patients Affected by LSCC

Clinical-pathological variables	n	EGFR		P value
		Positive	Negative	
All cases	30	13	17	
Age				0.509
< 60	5	1	4	
> 60	25	12	13	
Lymph nodes				0.921
Positive	13	5	8	
Negative	17	8	9	
Smoking				0.869
Positive	25	11	14	
Negative	5	2	3	
HPV status				0.926
Positive	6	2	4	
Negative	24	11	13	

Test performed; Chi-square with Yates correction

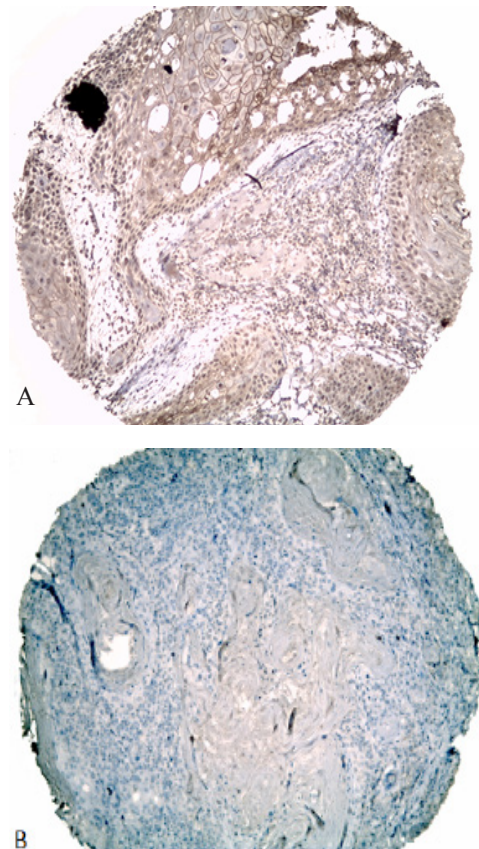


Figure 1. EGFR Protein Immunodetection in Human Larynx Tissues. (A) EGFR expression was observed in the cell membrane of tumoral cells as a brownish reaction. (B) In some tissues this reaction was absent. All tissue sections were counterstained with hematoxylin. Original amplification x100

When log-rank test was used, HPV positive status was found to be related significantly to good overall survival ( $P=0.448$ ) in the patients included in the study (the survival distribution shows that HPV positive cases survive longer than HPV negative cases), while cases with HPV negative

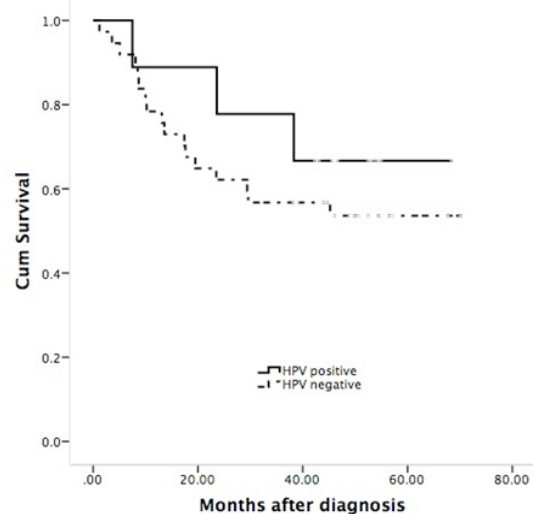


Figure 2. Survival Probability of LSCC Patients with HPV Positive; Favorable Pognosis in Patients' HPV-Positive, Log-rank;  $P=0.448$



Table 2. Survival Analysis

Factor	P value <sup>§</sup>	HR (95% BCa CI) <sup>§§</sup>
Age (<60)	0.801	0.854 (0.043- 2.595)
Clinical stage (T1-T2)	0.007*	0.104 (0.021-0.350)
Lymph node (positive)	0.163	1.856 (0.701-5.030)
EGFR (overexpression)	0.122	0.500 (0.191- 1.189)
Smoking (yes)	0.198	0.455 (0.034- 22.261)

§§, Cox regression univariate analysis; §, Log-rank test; HR, Hazard ratio; \*, Statistical significant.

Table 3. Prognosis between HPV and EGFR Status in Mexican Patients with LSCC

HPV Status	EGFR Status	n	Favorable Prognosis
+	+	2	Yes
+	-	4	Yes
-	+	11	No
-	-	13	No

cases shows survival distribution with poor clinical outcome. In the EGFR overexpression cases showed a poor survival (P=0.122) (the survival distribution shows that EGFR overexpression survive longer than EGFR negative expression cases) (Figures 2 and 3). Univariate Cox regression analysis also identified that clinical variables including age, smoking and lymph node (positive) were not significantly associated with overall survival. However, clinical stage (T1-T2) presented a hazard ratio of 0.104 (95% BCa CI: 0.021-0.350) (Tables 2 and 3).

## Discussion

EGFR gene encodes for a transmembrane receptor with tyrosine kinase activity that can be stimulated by EGF (Epidermal Growth Factor). Once stimulated, the receptor induces the phosphorylation of several effectors involved in the MAP Kinase pathways, which are implicated in the cellular proliferation (Okano et al., 2000). EGFR overexpression has been observed in 40–80% of HN tumors. This overexpression appears to be linked with mutations EGFR and amplification (Grandis et al., 1993a, Grandis et al., 1993b); EGFR gene is mapped in the short arm of human chromosome 7p12.10, this region is frequently amplified in epithelial tumors. Several molecular cytogenetic studies (e.g. comparative genomic hybridization) have showed an amplification of EGFR in various tumors, particularly in LSCC (Cancer Genome Atlas Network, 2015).

Molecular markers of clinical importance in cancer research such as EGFR are widely used to determine the prognosis and to have therapeutic strategies depending on their expression in a tumor. Several works in cancer attribute a poor prognosis value to EGFR overexpression, but fortunately in the clinic, different therapeutic options are already available in patients with this molecular alteration (Grandis et al., 1998, Wen et al., 1996, Lee et al., 1997). At present, treatment options include monoclonal antibodies and inhibitors of tyrosine kinases. In the first case, an antibody available is Cetuximab®,

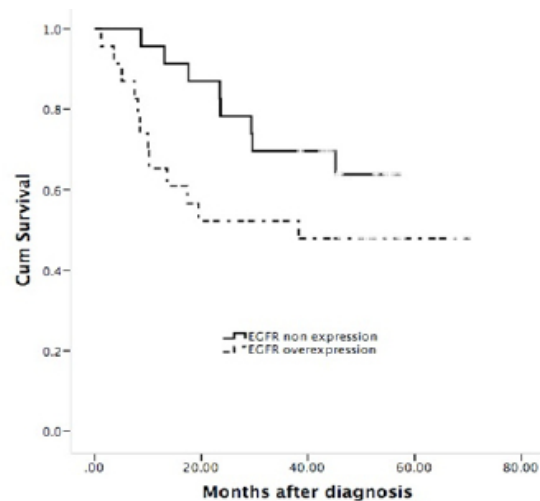


Figure 3. Survival Probability of LSCC Patients with EGFR Overexpression; Unfavorable Prognosis in Patients with EGFR Overexpression, Log-rank; P=0.122

with good results in clinical trials performed on patients with advanced stages of HN cancer, and in the second case, an inhibitor is Iressa®, which specifically inhibits phosphorylation of the receptor’s catalytic domain (Ugurluer et al., 2014, Campbell et al., 2016). Therapy to achieve tumor growth inhibition, based on EGFR inhibition with molecular agents, represents a therapeutic option in the treatment of laryngeal cancer. These treatments could be substantially superior (as personalized medicine) to the conventional alternatives currently employed based on the traditional chemotherapy regimen. While studying the association between patients’ survival and EGFR overexpression, we found an unfavorable prognosis in EGFR overexpression. Based in our data, we could suggest that the implementation of a fast-molecular technique to achieve EGFR detection for patients diagnosed with LSCC is necessary. The hope is that these kinds of patients will be treated with therapeutic options as previously described. In the present study, EGFR overexpression was detected (43%), supporting previous results (Grandis et al., 1993a, Grandis et al., 1993b). We also observed that EGFR overexpression was associated with unfavorable prognosis, but neither lymph-node positivity nor smoking was correlated with reduced survival. Most of the patients corresponded to advanced stages (T3-T4 stages). This independent molecular factor related to poor prognosis has been reported (Ugurluer et al., 2014). Thus, the observation could robust that the EGFR expression as molecular target. The impact of different prognostic factors such as EGFR, clinical factors such as tumor stage (TNM) and age are not fully known for HN cancer. The reported survival rates vary and the optimal treatment has not yet been established.

In the last decade, the HPV infection has been identified as an important prognostic factor in oropharyngeal cancer (Bersani et al., 2017, Shimura et al., 2017), and there is now a growing interest in the importance of HPV for HN cancer (Schroeder et al., 2017, Linge et al., 2016). Axelsson et al., report that HPV infection is common in HN tumors with good prognosis. Independent of others

prognostic factors for survival such as the age of more than 70 years, and N3 stage (Axelsson et al., 2017). Zhang et al., (2016) finds that patients with HPV-positive HN carcinomas have a better prognosis than the HPV-negative cases and hypothesizes that the replication of HPV genome into the genomes of cancer cells may enhance DNA repair mechanisms, which in turn limit the accumulation of lethal somatic mutations. Another author hypothesizes that in HPV-positive cases, it would be interesting to study the expression of E5/HPV protein, which may modulate EGFR turnover (Keck et al., 2015, Rampias et al., 2013). A large-scale study is still necessary to reach satisfactory and concrete conclusions as well as to elucidate the molecular mechanism that favors prognosis in HPV-positive cases in HN.

Some authors also use EGFR and HPV expression to perform molecular sub-classification in oropharyngeal tumors (Rivera-Peña et al., 2016). HPV infection is an indicator of good response to chemoradiotherapy, and EGFR is a molecular target in HN carcinoma, so, the molecular sub-classification based on HPV infection and EGFR expression could serve as important for appropriate therapeutic strategy (Nakano et al., 2016). In the present study, the LSCC exhibited that HPV status is independent to EGFR status. Recently was reported that HPV 16 may be associated with a favorable prognosis in patients with LSCC (Zhang et al., 2016). According to our results, the cases HPV-negative cases and EGFR positive expression, an appropriate therapeutic option would be available.

In conclusion, EGFR overexpression in patients with LSCC could be associated with an unfavorable prognosis, independently of other clinical-pathological factors. It is also possible to find a group associated with HPV infection, with a favorable prognosis. More research is needed to establish and define the molecular mechanism, and to strengthen the present findings.

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