

RESEARCH ARTICLE

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Intraoperative Molecular Analysis of Total Tumor Load in Sentinel Lymph Node: A Predictor of Axillary Status in Early Breast Cancer

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Abstract

Background: Axillary lymph node dissection (ALND) remains the standard of care in breast cancer patients with positive sentinel lymph node (SLN). However, approximately 40–60% of patients with positive SLNs have not developed to non-SLN metastasis and ALND seems to be an overtreatment. The purpose of this study was to analyze predictors and define a specific cut-off of total tumor load (TTL) of CK19 that can be used as a predictive factor of non-SLN metastasis in early breast cancer patients. **Materials and Methods:** The records of 238 patients with cT1-3N0 breast cancer who had an intraoperative SLN evaluation performed through One-Step nucleic acid (OSNA) assay at Songklanagarind Hospital between 1 January 2015 and 31 December 2019 were examined. Univariate and Multivariate analysis was used to identify clinicopathologic features in SLN-positive patients that predict metastasis to non-SLNs. Finally, receiver operative characteristics (ROC) curves were used to choose an optimal TTL cut-off value. **Results:** Of a total of 110 patients who had a positive SLN, only 48 (43.64%) were found to have positive nodes in non-SLN. Multivariate analysis revealed that lymphovascular invasion, type of SLN metastasis and SLN TTL (copies/ μ L) were independent predictors of positive non-SLNs. TTL cut-off value was 19,000 copies/ μ L, with an AUC of 0.838 with 72.7% sensitivity and 84.7% specificity to predict non-SLN metastasis. **Conclusions:** The likelihood of positive non-SLNs in patients who showed a positive SLN correlates with lymphovascular invasion, type of SLN metastasis and SLN TTL (copies/ μ L). Our result revealed that the patients with a SLN TTL \geq 19,000 copies/ μ L continue to attract the recommendation to proceed with ALND. This cut-off value can then help clinicians to assess which patients would benefit from ALND.

Keywords: Breast neoplasm - molecular diagnostic technique - sentinel lymph node biopsy - tumor load

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Introduction

The diagnosis of lymph node metastasis is an important surgical procedure that will define the prognostics and treatment of breast cancer patients. Sentinel lymph node biopsy (SLNB) is routinely used for staging axillary lymph node status in clinical node negative breast cancer patients (Veronesi et al., 2006; Lyman et al., 2016). National Surgical Adjuvant Breast and Bowel Project (NSABP) trial B-32 showed that overall survival, disease-free survival, and regional control were not different between groups of SLN resection plus axillary lymph node dissection (ALND) and groups of SLN resection alone with ALND only if the SLNs were positive (Krag et al., 2010). Not all patients with positive SLNs have developed to non-SLN metastasis and ALND seems to be an overtreatment for these patients (Giuliano et al., 2011; Caudle et al., 2012; Laohawiriyakamol et al., 2017). The morbidity of ALND were more severe than SLNB, i.e. arm dysfunction,

persistent pain, and edema of the upper arm lead to poor quality of life for those patients. Therefore, the discrimination of patients with positive SLNs who have no non-SLN metastasis is of very important clinical significance.

The One-Step nucleic acid amplification (OSNA, Sysmex, Kobe, Japan) method has been proposed as an effective tool for intraoperative detection of SLN macrometastasis, micrometastasis, and isolated tumor cells. OSNA method can evaluate a whole SLN by a reverse transcription loop-mediated isothermal amplification (RT-LAMP) of cytokeratin 19 (CK19) which is a duct epithelial cell marker in the SLN in a single reaction (Manzotti et al., 2001; Tsujimoto et al., 2007).

Total tumor load (TTL) which is total CK19 mRNA expression level in SLNs was used as a prediction tool of non-SLN metastasis in patients with early breast cancer and positive SLN. Cut-off values were defined to classify isolated tumor cells (< 250 copies/ μ L), micrometastases

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(250–5,000 copies/ μL), and macrometastases ($> 5,000$ copies/ μL) (Feldman et al., 2011; Tiernan et al., 2014). Previous studies revealed that 60.7% of the patients with macrometastatic SLN and 70.0% of patients with TTL in the SLN between 5,000 and 15,000 copies/ μL had no further nodal involvement on ALND (Peg et al., 2013; Fung et al., 2017). These results indicated that approximately half of SLN-positive patients undergo unnecessary ALND and this cut-off value might lead to overdiagnosis of SLN metastasis status. Until now, the optimal cut-off value of the TTL to predict the status of the NSLNs in patients with positive SLNB is still controversial.

The objective of this study was to determine whether the total tumor load (TTL) as indicated through the One-Step nucleic acid amplification (OSNA) assay can be a predictive factor of non-SLN metastasis in early breast cancer patients and define a specific cut-off of CK19 mRNA copy number.

Materials and Methods

The study design was approved by the Songklanagarind Hospital Ethics Committee. Informed consent was obtained from all patients.

Patients

The records of 238 patients with cT1-T3N0 breast cancer who had SLN analysis by OSNA assay at Songklanagarind Hospital between 1 January 2015 and 30 May 2016 were collected. The inclusion criteria included: patients with invasive breast cancer of cT1–T3, clinically N0, who have had SLN metastasis, and have undertaken ALND. The exclusion criteria included: patients who received systemic treatment either primary or neoadjuvant, ductal carcinoma in situ (DCIS), and local recurrence or systemic metastasis at the moment of diagnosis. The data collected from the medical records included age, SLN identification technique, size of SLN, tumor size, pathological T stage, histologic type, histologic grade, estrogen receptor (ER) and progesterone receptor (PR) status, human epidermal growth factor receptor 2 (HER2) status, the presence of lymphovascular invasion (LVI), type of SLN metastasis, total number of SLNs, number of positive and negative SLNs, and number of positive and negative non-SLNs. TTL was calculated as number of CK19 mRNA copies/ μL in the positive SLNs.

SLN evaluation by OSNA assay

SLN evaluation was performed by OSNA assay according to the manufacturer's instructions (Sysmex, Kobe, Japan). The OSNA assay for the detection of SLN metastasis was performed as previously described in detail (Tamaki et al., 2009; Hintzen et al., 2020). The results were reported according to the cut off level of calculated CK19 mRNA copies per μL , non-metastasis was defined as <250 copies/ μL , micrometastasis (+) as 250-5,000 copies/ μL , and macrometastasis (++) as $>5,000$ copies/ μL of CK19 mRNA. Mastectomy or lumpectomy was considered as part of the planned breast cancer treatment.

Statistical analyses

The Statistical Package for the Social Science (SPSS) for Windows version 23.0 (SPSS Inc., Chicago, IL, United States) was used for statistical analysis. The clinicopathological factors were compared between groups of patients with positive nodes in ALND and negative nodes in ALND. Categorical data were analyzed by Pearson's chi-square test. Continuous variables were analyzed by Mann-Whitney U-test. In SLN positive patients, univariate and multivariate logistic regression models were performed to assess the risk factors that were different between the non-SLN positive and negative groups with p-value of <0.05 considered significant. The best cutoff of TTL was quantified with a receiver operating characteristics (ROC) curve as measured by the areas under receiver operating characteristic curves (AUC).

Results

Patients' characteristics

Of the 110 patients with positive SLNs evaluated by OSNA during surgery in this study, 48 (43.64%) were found to have non-SLN metastasis while 62 (56.36%) had no metastasis in non-SLN. A significant difference in age could be identified between patients with positive and negative nodes in non-SLN ($p = 0.03$). In the group of patients with positive nodes in ALND, 8 patients (16.7%) had a micrometastasis (OSNA+) and 40 patients (83.3%) had a macrometastasis (OSNA++) in the SLN. On the other hand, of patients with no metastasis in ALND, 40 patients (64.5%) had a micrometastasis (OSNA+) and 22 patients (35.5%) had a macrometastasis (OSNA++) in the SLN. The mean TTL was 5,250 copies/ μL in patients with no metastasis in non-SLN and 19,870 copies/ μL in patients with metastasis in non-SLN. Characteristics of all patients are summarized in Table 1.

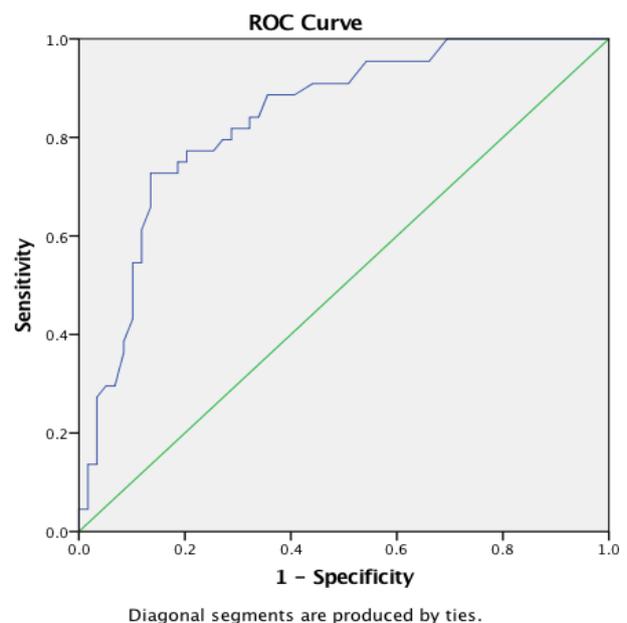


Figure 1. Receiver Operative Characteristics (ROC) Curve Using TTL Variable as Predictor of non-SLN Metastasis (AUC = 0.838, sensitivity = 72.7% and specificity = 84.7%)

Table 1. Patient and Tumors Characteristics Divided by Negative and Positive Non-SLNs

Characteristic	Non-SLN in ALND		p value
	Negative (N=62)	Positive (N=48)	
Age (Year)			
Mean	54.7	50.5	0.03 ^a
< 45 year (%)	9 (14.5)	15 (31.2)	0.03 ^b
≥ 45 year (%)	53 (85.5)	33 (68.8)	
SLN identification			
Dye alone	13 (21)	10 (20.8)	0.98 ^b
Combine	49 (79)	38 (79.2)	
Average SLNs (node)	2.62	2.21	0.08 ^a
Mean tumor size, mm	2.21	2.66	0.06 ^a
Pathological T stage, no.(%)			
pT1a	5 (8.1)	0 (0)	0.24 ^b
pT1b	5 (8.1)	3 (6.2)	
pT1c	22 (35.5)	19 (39.6)	
pT2	30 (48.3)	25 (52.2)	
pT3	0 (0)	1 (2)	
Histologic type, no (%)			
Invasive ductal carcinoma	61 (98.4)	45 (93.8)	0.09 ^b
Invasive lobular carcinoma	0 (0)	3 (6.2)	
Mucinous carcinoma	1 (1.6)	0 (0)	
Histologic grade, no. (%)			
Grade I	11 (17.7)	8 (16.7)	0.23 ^b
Grade II	32 (51.6)	18 (37.5)	
Grade III	19 (30.7)	22 (45.8)	
Estrogen receptor			
Positive	11 (17.7)	11 (22.9)	0.50 ^b
Negative	51 (82.3)	37 (77.1)	
Progesterone receptor			
Positive	21 (33.9)	16 (33.3)	0.95 ^b
Negative	41 (66.1)	32 (66.7)	
HER2 status			
Positive	44 (71)	37 (77.1)	0.47 ^b
Negative	18 (29)	11 (22.9)	
Lymphovascular invasion			
Present	40 (64.5)	14 (29.2)	0.001 ^b
Absent	22 (35.5)	34 (70.8)	
Type of SLN metastasis			
Micrometastasis	40 (64.5)	8 (16.7)	0.001 ^b
Macrometastasis	22 (35.5)	40 (83.3)	
Number of Positive SLN			
1	5 (8.1)	0 (0)	0.24 ^b
2	5 (8.1)	3 (6.2)	
3	22 (35.5)	19 (39.6)	
4	30 (48.3)	25 (52.2)	
5	0 (0)	1 (2)	
Mean SLN TTL (copies/μL)	5250	19870	0.001 ^a

^ap value by Mann-Whitney U test^bp value by Chi-Square test

SLN, sentinel lymph node; ALND, axillary lymph node dissection; HER2, human epidermal growth factor receptor 2

Table 2. Univariable and Multivariable Associations of Prognostic Factors with Positive Non-SLN Metastasis

	Odds ratio (95% CI)	p value	Odds ratio (95% CI)	p value
Age, <45 yr vs. ≥ 45 yr	0.24 (0.07; 0.86)	0.02	0.25 (0.07; 0.83)	0.06
Identification, dye vs. combined	0.78 (0.23; 2.98)	0.78		
Average SLNs	0.72 (0.43; 1.21)	0.22		
Tumor size	1.03 (0.51; 2.10)	0.92		
Histologic grade, III vs. I/II	1.26 (0.60; 2.63)	0.54		
Estrogen receptor, -ve vs. +ve	0.85 (0.14; 5.10)	0.86		
Progesterone receptor -ve vs. +ve	1.04 (0.23; 4.72)	0.95		
HER2 status -ve vs. +ve	0.67 (0.18; 2.53)	0.1		
Present lymphovascular invasion	3.81 (1.51; 9.64)	0.005	2.95 (1.10; 7.88)	0.031
SLN micro vs. macrometastasis	8.33 (2.72; 25.46)	0.03	8.28 (2.78; 24.65)	0.001
Number of Positive SLN	1.97 (0.90; 4.30)	0.08		
SLN TTL (copies/μL)	5.53 (1.65; 18.43)	0.005	5.48 (1.72; 17.95)	0.001

SLN, sentinel lymph node; HER2, human epidermal growth factor receptor 2; TTL, total tumor load

Univariate and multivariate analysis of non-SLN metastasis

Results of the univariate and multivariate analyses are presented in Table 2. In the univariate analysis, patient age was found to have a statistically significant effect on non-SLN metastasis. Patients with age less than 45 years had a lower probability of positive non-SLN metastasis (OR = 0.24, 95% CI; 0.07 – 0.86, p value = 0.03). Second, we found a positive effect of the status of lymphovascular invasion, the risk for non-SLN metastasis increased when patients had lymphovascular invasion (OR = 3.81, 95% CI; 1.51 – 9.64, p value = 0.005). According to type of SLN metastasis, macrometastasis in the SLN had a statistically significant association with non-SLN metastasis in the univariate analysis (OR, 8.33; 95% CI, 2.75-25.46; p value 0.03) and in the multivariate analysis (OR, 8.28; 95% CI, 2.78-24.65; p value 0.001). Subsequently, multivariate analyses were carried out on those variables found to be statistically significant on univariate analyses. Patient age displayed no difference in the multivariate analysis (OR = 0.25, 95% CI; 0.07 – 0.83, p value = 0.06) whereas, lymphovascular invasion, type of SLN metastasis and SLN TTL (copies/μL) showed a significant association with the incidence of non-SLN metastases.

Receiver operating characteristic curve demonstrating diagnostic accuracy

Receiver operative characteristics (ROC) curves were then used to choose an optimal cut-off value with the highest sensitivity and specificity. Results from ROC analyses, with an AUC of 0.838, identified a cut-off equal to 19,000 copies/mL showing 72.7% sensitivity and 84.7% specificity as in Figure 1.

Discussion

SLNB is routinely used for staging axillary lymph node status of early breast cancer patients (Veronesi et al., 2006; Lyman et al., 2016). If any SLNs contain cancer cells, ALND will be needed. However, in our study, of 110 patients with positive SLNs, only 48 (43.64%)

patients showed positive nodes in non-SLN. Our result is consistent with previous studies in that ~50% of SLN-positive patients had non-SLN metastasis (Peg et al., 2013; Fung et al., 2017). Therefore, for approximately half of SLN-positive patients it was not always necessary to perform ALND and the prediction of non-SLN metastasis status based on whether metastasis was positive or negative in SLNs was insufficient. In this study, we tried to analyzed predictors and define a specific cut-off of TTL to reduce the overtreatment in SLN-positive patients.

Several previous studies have analyzed and developed predictors for non-SLN metastasis in patients with positive SLNs. In our study both of the univariate and multivariate analysis, lymphovascular invasion (LVI) status, type of SLN metastasis and SLN TTL (copies/μL) were significantly associated with non-SLN metastasis, consistent with the majority of other research (Hwang et al., 2003; Van zee et al., 2003; Osako et al., 2013; Nadeem et al., 2014; Teramoto et al., 2014). LVI has been described as the strongest independent predictor of nodal involvement. We found that, LVI was a significant predictor of non-SLN involvement; more than 70% of our patients with LVI had positive non-SLN and seemed to show higher odds (OR, 2.95; 95% CI, 1.10-7.88; p value 0.031) as compared with patients with negative non-SLN. Whereas patients with macrometastasis had higher odds ratio (OR, 8.28; 95% CI, 2.78-24.65; p value 0.001) than micrometastasis.

CK19 is part of the cytoskeleton of epithelial cells and is normally not expressed in lymphatic tissue. Many investigators have suggested that the total CK19 mRNA copy number of SLN could be an important factor in predicting non-SLN metastasis. Currently, the molecular detection of CK19 by OSNA assay is a routine method that can be completed intraoperatively within 30–40 minutes (Tamaki et al., 2009; Peg et al., 2013; Fung et al., 2017; Hintzen et al., 2020). Cutoff values defined SLN as negative nodes when there are less than 250 copies/μL, micrometastasis if there are more than 250 copies/mL but less than 5,000 copies/mL and macrometastases if there are more than 5,000 copies/μL as reported from Feldman et al., (2011) and Tiernan et al., (2014)

In our result, the group of patients with negative nodes in non-SLN showed mean SLN TTL 5,250 copies/ μ L and patients with positive nodes in non-SLN showed mean SLN TTL 19,870 copies/ μ L. Results from our ROC analyses, with an AUC of 0.838, identified a best TTL cut-off equal to 19,000 copies/mL (Sensitivity 72.7%, Specificity 84.7%) in predicting non-SLN metastasis in our cohort. Numerous studies have reported the results of the cut-off levels of CK19 copy number predict non-SLN metastasis, Recently, Nabias et al., (2017) proposed the TTL cut- off level at 190,000 copies/ μ l with 73.3% sensitivity and 74.4% specificity. This study provided a rather high TTL cut- off level, however their sample size was quite small. In addition, Espinosa-Bravo et al., (2013) suggested 12,000 copies/ μ L with a specificity of 85.3% and negative predictive value (NPV) of 80% in their cohort of patients. Terrenato et al., (2017) found a TTL cutoff >2150 copies/ μ L with 95% sensitivity but the specificity was only 51%. Deambrogio et al., (2014) identified the TTL cut- off at 7,700 copies/ μ L with 78% sensitivity and 57% specificity and Peg et al., (2013) suggested TTL cutoff point of 5,000 copies/ μ L with 76.7% sensitivity and 55.2% specificity. TTL cut-off value from these studies remain inconsistent and suggests that the same cut-off value cannot be applied to other populations. The reason possibly may be due to different in size of axillary lymph node, variations of demographics and locations of each patient population. With our new recommended threshold, patients with TTL less than 19,000 copies/mL had no non-SLN metastasis and no need to receive an ALND.

In summary, our study demonstrated that only 43.64% of patients with positive SLNs showed positive nodes in non-SLN. LVI status, type of SLN metastasis and SLN TTL (copies/ μ L) were independent predictors of non- SLN metastases. Furthermore, we propose that, the cutoff level at 19,000 copies/ μ l is the best cutoff with sensitivity 72.7% and specificity 84.7% in predicting non-SLN metastasis in our cohort.

Author Contribution Statement

This study was designed, provided conceptual, directed, and coordinated by Laohawiriyakamol S and Puttawibul P. The data were collected and analyzed by all authors. The manuscript was written by Laohawiriyakamol S and commented on by all authors.

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Ethical approval

The study was approved by the Institutional Review Board of Faculty of Medicine, Prince of Songkla University (No.58-035-10-1)

Availability of data

The datasets are not publicly available due to ethical restrictions, but are available from the corresponding author on reasonable request.

Conflict of Interest

all authors declare no conflict of interest in this study.

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