RESEARCH ARTICLE

IncRNA Expression-Based Risk Scoring System Can Predict Survival of Tumor-Positive Patients with Hepatocellular Carcinoma

Siyao Wu, Yayan Deng, Yue Luo, Jiaxiang Ye*, Zhihui Liu*

Abstract

Background: Long non-coding RNAs (lncRNAs) play critical roles in the progression of hepatocellular carcinoma (HCC). The aim of this study was to explore whether lncRNA expression profiles can predict prognosis of HCC patients with tumors. Methods: Expression of lncRNAs in HCC patients based on data in The Cancer Genome Atlas (TCGA) was examined by uni- and multivariate cox analysis to identify associations between clinical features and overall survival (OS) or recurrence-free survival (RFS). Based on our finding that both were independently associated with tumor status, we examined lncRNAs differentially expressed between patients with or without tumors. An lncRNAbased risk scoring system was developed to predict OS and RFS in tumor-positive patients, and it was assessed using uni- and multivariate cox analyses. Potential functions of the prognostic lncRNAs were explored. Results: A risk scoring system to predict OS for HCC patients with tumors was developed based on the expression of six lncRNAs (AC090921.1, AC012640.1, AL158839.1, AL356056.1, AL359853.1 and C10orf91), and a corresponding scoring system to predict RFS was developed from nine lncRNAs (AL356056.1, AL158839.1, MIR7-3HG, AL445493.2, AP000808.1, AP003354.2, PLCE1-AS1, TH2LCRR and LINC01447). Both risk scoring systems gave areas under receiver operating characteristic curves >0.7. Uni- and multivariate cox analyses showed that both risk scoring systems independently predicted survival even after adjusting for clinical factors. The lncRNAs related to OS may be involved in complement and coagulation cascades, while those related to RFS may be involved in the cell cycle. Conclusion: Risk scoring system based on these lncRNAs may be useful for predicting prognosis of tumor-positive HCC patients.

Keywords: Hepatocellular carcinoma- risk scoring system- long non-coding RNA- survival

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Introduction

Hepatocellular carcinoma (HCC) is estimated to cause 782,000 death cases annually and its incidences and associated mortality are increasing, making it one of the most fatal malignant cancers in the world(Bertuccio et al., 2017; Forner et al., 2018; Siegel et al., 2020). Median survival time of patients with advanced HCC is only 7.1 months without treatment(Kulik and El-Serag, 2019). Even after treatment, tumor recurrence is a problem. For example, recurrence occurs in nearly 70% of patients by 5 years after surgery, reducing their survival(Forner et al., 2018; Xu et al., 2020). Recurrence rates after R0 resection are high even for patients with early-stage HCC, leading to unsatisfactory long-term survival(Torzilli et al., 2013; Zhong et al., 2014; Kang and Ahn, 2017). OS after ablation or radiotherapy is as poor as after surgery(Bruix et al., 2014; Hara et al., 2019). Therefore, recurrence maybe a factor that affect the prognosis. Indeed, the typical heterogeneity of HCC is a major reason why rates of overall survival (OS) and recurrence-free survival (RFS) remain very low for HCC patients (Villanueva et al., 2013; Bruix et al., 2014). This highlights the need to identify reliable biomarkers to predict prognosis.

Long non-coding RNAs (lncRNAs), usually longer than 200 nt(Ponting et al., 2009), are associated with occurrence and progression of malignant tumors(Schmitt and Chang, 2016; Fernández-Barrena et al., 2017; Huang et al., 2018; Wu et al., 2018b). For example, the lncRNA MAGI2-AS3 may protect against HCC (Pu et al., 2019), the lncRNA HIS is thought to promote HCC proliferation and metastasis(Chen et al., 2019a), and the lncRNA DUXAP10 acts via microRNA-1914 to inhibit cell proliferation(Sun et al., 2019).

Considering that the prognosis of HCC patients can differ depending on whether they have tumors or not(Li et al., 2019; Lim et al., 2019), we wanted to explore whether some lncRNAs are differentially expressed in the presence

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of tumors, and whether these might influence the risk of recurrence or death. We aimed to identify a risk scoring system based on lncRNA expression levels in order to predict prognosis of tumor-positive HCC patients.

Materials and Methods

Data on patients with HCC and their lncRNA expression profiles

Data sets containing lncRNA and mRNA expression as well as the corresponding clinical information for patients with HCC were obtained from the Cancer Genome Atlas (TCGA data version 09-14-2017 for HCC) via UCSC Xena (https://xenabrowser.net/datapages/). To be included in the present study, patients had to have been diagnosed with HCC based on histology, the patient's tumor status had to be known, complete RNA-Seq data had to be available for lncRNAs and mRNAs, and complete followup data including OS and RFS had to be available. In the end, data were included from 338 HCC patients, of whom 146 had tumors and 192 did not .Since the data is from the TCGA, no further approval was required from the Ethics Committee.

Association of clinical features with survival, and analysis of lncRNA expression

Univariate cox analysis was carried out to identify associations between clinical features and OS or RFS. Factors associated with P values less than 0.05 were regarded as statistically significant and analyzed further in multivariate cox regression.

Therefore we compared lncRNA expression between HCC patients with or without tumors. First, we removed lncRNAs and mRNAs that showed null expression in more than 50% of patients. Then the edgeR package in R software (version 3.4.4, https://www.r-project.org/) was used to identify differentially expressed lncRNAs (DElncRNAs) that showed a | log2 (fold change) | > 1 and false discovery rate (FDR) < 0.05(Robinson et al., 2010). Cluster heat maps and volcano maps were generated using the gplots and heatmap packages in R.

Construction of an lncRNA expression–based risk scoring system and prognostic assessment

All analyses were conducted using R/Bioconductor (version 3.4.4, https://www.r-project.org/). First, the standardized expression levels from multiple tissues in the same patient were averaged, then univariate cox analysis was used to assess associations between DElncRNAs and OS or RFS. The DElncRNAs that proved significant (P < 0.05) in this analysis were included in backward stepwise multivariate cox regression. The prognostic lncRNAs from this model were assembled into a scoring system based on expression level and corresponding regression coefficients (β):

Risk score = (β * expression level of lncRNA1) + (β * expression level of lncRNA2) + (β * expression level of lncRNA3) +

A risk score was calculated for each patient, and time-dependent receiver operating characteristic (ROC) curves were plotted to assess prognostic performance. The median risk score was used to classify HCC patients into high- or low-risk groups, and Kaplan–Meier analysis was performed to compare OS and RFS between the two groups.

Validation of the risk scoring system

Uni- and multivariate analyses were carried out with tumor-positive patients' data to estimate the association between various clinical variables containing the risk score and survival. If the result of these analyses did not return any significant results, the stratified analysis based on clinical variables was conducted to identify prognostic factors via the chi-squared test. All these analyses were carried out using SPSS 16.0 (IBM, Chicago, IL, USA). Differences associated with a two-sided P < 0.05 were considered statistically significant.

Identification of mRNAs co-expressed with prognostic lncRNAs and prediction of their function

The data sets were screened for mRNAs whose expression was strongly associated with that of the prognostic lncRNAs in our risk scoring system. Such mRNAs had to give a z-test P < 0.01 and two-sided | Pearson correlation coefficient|>0.30. Potential functions of these mRNAs were explored by performing enrichment analyses for cellular components, biological process, and molecular functions in the Gene Ontology (GO) system, as well as for pathways in the Kyoto Encyclopedia of Genes and Genomes (KEGG) system. Enrichment analyses were performed using the clusterProfiler package in R(Yu et al., 2012). P < 0.05 was considered to indicate statistical significance.

Results

delncRNAs in HCC

A total of 338 HCC patients were included in our analysis. Clinical and demographic characteristics of the patients are shown in Table 1. Uni- and multivariate cox analysis identified tumor status as an independent predictor of OS and RFS (Tables 2-3). DElncRNAs whose expression differed between 146 tumor-positive and 192 tumor-free patients were selected for further analysis (Figures 1-2).

Risk scoring system to predict OS of HCC patients with tumors

Univariate cox analysis based on HCC-specific lncRNAs identified nine that were significantly associated with OS: AL356056.1, AC090921.1, AL359853.1, AC139749.1,C10orf91, AC012640.1, AL158839.1, AC016205.1,AC007938.3. Of these lncRNAs, multivariate analysis identified six as independently associated with OS: AC090921.1, AC012640.1, AL158839.1, AL356056.1, AL359853.1 and C10orf91. The contribution of each lncRNA to this risk scoring system was assessed using multivariate cox regression (Table 4), such that each lncRNA was weighted appropriately to give the final formula:

Risk score= (0.2047 * AC090921.1) + (0.1440 * AC012640.1) + (0.2350 * AL158839.1) + (0.3872 *

AL356056.1) + (0.2318 * AL359853.1) + (0.1328 * C10orf91).

Table 1. Clinicopathological Characteristics of 338 HCC Patients with or without Tumor

In this formula, higher expression of DElncRNAs is associated with worse OS ($\beta > 0$).

An OS risk score was calculated for each patient, and the median risk score was used to stratify tumorpositive patients into low- or high-risk groups (Figure 3A). Kaplan–Meier analysis showed that cumulative rates of OS were 69.3% at 3 years and 38.6% at 5 years for low-risk patients, compared to 31.6% and 14.9% for high-risk patients (Figure 4A). The area under the receiver operating characteristic curve for predicting OS was 0.76 (Figure 5A).

Next we assessed whether the risk score could predict OS independently of the following clinical features that may also influence survival: age, body mass index (BMI), ethnicity, alpha fetoprotein (AFP), sex, presence of hepatitis, alcohol consumption, cirrhosis, histology grade, new tumor event, pathology stage, tumor status, family history of cancer, presence of residual tumor, and vascular invasion. Univariate analysis showed that risk score and vascular invasion were significantly associated with OS. Of these two factors, only risk score emerged from multivariate analysis as an independent predictor of OS [hazard ratio (HR) 2.32, 95% confidence interval (CI) 1.43-3.77] (Table 5).

Risk scoring system to predict RFS of HCC patients with tumor

Using the same procedure as in section 3.2, we identified nine lncRNAs significantly associated with RFS: AL356056.1, AL158839.1, MIR7-3HG, AL445493.2, AP000808.1, AP003354.2, PLCE1-AS1, TH2LCRR and LINC01447. Weighting the contributions of individual lncRNAs (Table 6) led to the final risk scoring system:

Risk score = (0.2502 * AL356056.1) + (0.2805 * AL158839.1) + (0.2882 * MIR7-3HG) + (-0.2911 * AL445493.2) + (-0.0984 * AP000808.1) + (-0.0.2184 * AP003354.2) + (-0.4527 * PLCE1-AS1) + (0.1879 * TH2LCRR) + (-0.0751 * LINC01447).

In this formula, higher expression of AL158839.1, TH2LCRR, AL356056.1 and MIR7-3HG is related to worse RFS ($\beta > 0$), while higher expression of PLCE1-AS1, AL445493.2, AP003354.2, LINC01447 and AP000808.1 is associated with better RFS ($\beta < 0$).

Patients were stratified into low- or high-risk groups based on median risk score (Figure 3B), and their RFS was compared using Kaplan-Meier analysis (Figure 4B). RFS was 21.0% at 3 years and 6.3% at 5 years for low-risk patients, compared to 0% at both time points for high-risk patients (Figure 5B). The area under the receiver operating characteristic curve for predicting RFS was 0.861.

Univariate analysis showed that risk score, AFP, pathology stage and vascular invasion correlated with RFS, while multivariate analysis identified only risk score (HR 3.86, 95%CI 2.48-6.01) and AFP (HR 1.75, 95%CI 1.09-2.82) as independent factors (Table 7).

| Clinicopathological cha | racteristics | Patients | s (n=338) |
|-------------------------|---------------|----------|-----------|
| | | n | % |
| Age | ≤60 | 164 | 48.52 |
| | ≥ 60 | 174 | 51.48 |
| BMI | ≤25 | 168 | 49.7 |
| | ≥25 | 143 | 42.31 |
| | Not reported | 27 | 7.99 |
| Race | Non-Asian | 179 | 52.96 |
| | Asian | 149 | 44.08 |
| | Not reported | 10 | 2.96 |
| AFP | ≤20 | 138 | 40.8 |
| | ≥ 20 | 119 | 35.2 |
| | Not reported | 81 | 24 |
| Gender | Female | 108 | 31.95 |
| | Male | 230 | 68.05 |
| Hepatitis | No | 178 | 52.66 |
| | Yes | 145 | 42.9 |
| | Not reported | 15 | 4.44 |
| Alcohol consumption | No | 214 | 63.31 |
| | Yes | 109 | 32.25 |
| | Not reported | 15 | 4.44 |
| Cirrhosis | non-cirrhosis | 125 | 36.98 |
| | Cirrhosis | 75 | 22.19 |
| | Not reported | 138 | 40.83 |
| Histologic grade | G1-2 | 211 | 62.43 |
| | G3-4 | 122 | 36.09 |
| | Not reported | 5 | 1.48 |
| New tumor event | No | 170 | 50.3 |
| | Yes | 159 | 47.04 |
| | Not reported | 9 | 2.66 |
| Pathologic stage | Stage I+II | 234 | 69.23 |
| | Stage II+III | 81 | 23.96 |
| | Not reported | 23 | 6.8 |
| Tumor status | Tumor free | 192 | 56.8 |
| | With tumor | 146 | 43.2 |
| Family cancer history | No | 193 | 57.1 |
| | Yes | 102 | 30.18 |
| | Not reported | 43 | 12.72 |
| Residual tumor | R0 | 301 | 89.05 |
| | non-R0 | 30 | 8.88 |
| | Not reported | 7 | 2.07 |
| Vascular invasion | Negative | 188 | 55.62 |
| | Positive | 97 | 28.7 |
| | Not reported | 53 | 15.68 |

BMI, Body mass Index; AFP, Alpha fetoprotein; *Hepatitis B or C

Functional analysis of mRNAs strongly related to prognostic lncRNAs

The mRNAs co-expressed with DElncRNAs were identified based on Pearson's correlation coefficients

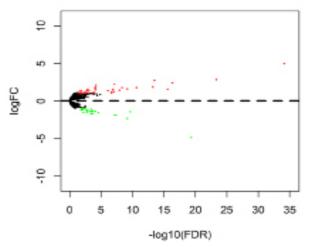
| Table 2. Univariate and | Multivariate Cox Reg | ression Analysis for | r OS in 338 HCC Patients |
|-------------------------|----------------------|----------------------|--------------------------|
| | | | |

| Variables | D rul | Univariate Co | - | | | | Cox regression | |
|-----------------------|---------|---------------|--------|-------|---------|-----------|----------------|------|
| Variables | P-value | HR | 95% CI | | P-value | HR | 95% CI | |
| Age (>60/≤60) | 0.46 | 1.17 | 0.77 | 1.79 | | | | |
| BMI | 0.19 | | | | | | | |
| <25 | | Reference | | | | | | |
| ≥25 | | 0.68 | 0.43 | 1.09 | | | | |
| Not reported | | 1.04 | 0.44 | 2.42 | | | | |
| Race | 0.36 | | | | | | | |
| Non-Asian | | Reference | | | | | | |
| Asian | | 0.71 | 0.38 | 1.31 | | | | |
| Not reported | | 1.58 | 0.52 | 4.8 | | | | |
| AFP | 0.17 | | | | | | | |
| $\leq 20 ng/mL$ | | Reference | | | | | | |
| >20ng/ml | | 1.46 | 0.88 | 2.43 | | | | |
| Not reported | | 1.68 | 0.95 | 2.98 | | | | |
| Gender (Male/Female) | 0.34 | 1.26 | 0.79 | 2.01 | | | | |
| Hepatitis B or C | 0.44 | | | | | | | |
| No | | Reference | | | | | | |
| Yes | | 0.83 | 0.48 | 1.45 | | | | |
| Not reported | | 1.58 | 0.55 | 4.53 | | | | |
| Alcohol | 0.29 | | | | | | | |
| No | | Reference | | | | | | |
| Yes | | 0.76 | 0.46 | 1.27 | | | | |
| Cirrhosis | 0.14 | | | | | | | |
| No | | Reference | | | | | | |
| Yes | | 1.21 | 0.63 | 2.33 | | | | |
| Not reported | | 1.72 | 1.01 | 2.94 | | | | |
| Histologic grade | 0.3 | | 1101 | | | | | |
| G1-2 | 0.5 | Reference | | | | | | |
| G3-4 | | 1.39 | 0.91 | 2.12 | | | | |
| Not reported | | 0.82 | 0.22 | 3.04 | | | | |
| New tumor event | 0 | 0.02 | 0.22 | 5.04 | | | | |
| No | 0 | Reference | | | | Reference | | |
| Yes | | 0.88 | 0.47 | 1.64 | 0.35 | 0.76 | 0.42 | 1.37 |
| Not reported | | 5.46 | 1.96 | 15.17 | 0.33 | 4.14 | 1.74 | 9.88 |
| | 0.06 | 3.40 | 1.90 | 13.17 | 0 | 4.14 | 1./4 | 9.00 |
| Pathologic stage | 0.06 | D C | | | | | | |
| Stage I+II | | Reference | 1.00 | 2.74 | | | | |
| Stage III+IV | | 1.73 | 1.09 | 2.74 | | | | |
| Not reported | 0.01 | 1.6 | 0.76 | 3.37 | 0 | 2.24 | 1.01 | = 0 |
| Tumor Status | 0.01 | 2.2 | 1.19 | 4.07 | 0 | 3.24 | 1.81 | 5.8 |
| Family cancer history | 0.32 | D C | | | | | | |
| No | | Reference | | | | | | |
| Yes | | 0.9 | 0.56 | 1.45 | | | | |
| Not reported | | 0.54 | 0.24 | 1.21 | | | | |
| Residual tumor | 0.78 | | | | | | | |
| R0 | | Reference | | | | | | |
| Non-R0 | | 0.92 | 0.45 | 1.9 | | | | |
| Not reported | | 1.54 | 0.42 | 5.57 | | | | |
| Vascular invasion | 0.06 | | | | | | | |
| Negative | | Reference | | | | | | |
| Positive | | 0.99 | 0.61 | 1.63 | | | | |
| Not reported | | 1.85 | 1.06 | 3.23 | | | | |

BMI, Body mass index; AFP, Alpha fetoprotein; HR, Hazard ratio; CI, Confidence interval.

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Volcano

Figure 1. The Volcano Map of the Differentially Expressed lncRNAs in HCC Patients between with Tumor and without Tumor. Red dots represent upregulated genes, and green dots represent downregulated genes.

(Appendices 1-2). Pathways and functional enrichment were analyzed for protein-coding mRNAs using the GO and KEGG systems (Figures 6-7). These mRNAs were enriched mainly in complement and coagulation cascades, the peroxisome, and the cell cycle.

Discussion

HCC is the most common and malignant type of liver cancer, and it is associated with high morbidity and poor prognosis. Carcinogenesis in HCC is a multistep process involving sustained inflammatory damage. The molecular heterogeneity of the disease has thwarted attempts at classification, making it difficult to predict prognosis and recurrence(Forner et al., 2018). The presence of a tumor in patients may be linked to poor outcomes(Li et al., 2019; Lim et al., 2019), so we hypothesized that we might be able to develop a reliable prognostic signature for predicting OS or RFS among HCC patients with tumors.

Many studies have reported that tumor size in HCC affects prognosis (Wu et al., 2018a; Chen et al., 2019b; Zeng et al., 2019), but we unaware of studies that have identified tumor status as a factor that independently affects OS or RFS and that have used lncRNA expression to create a risk scoring system to predict prognosis specifically for tumor-positive patients. Using data from 388 HCC patients in the TCGA, we found through uni- and multivariate analysis that tumor status was significantly associated with survival. After comprehensive analysis of DElncRNAs, we selected 15 HCC-specific lncRNAs

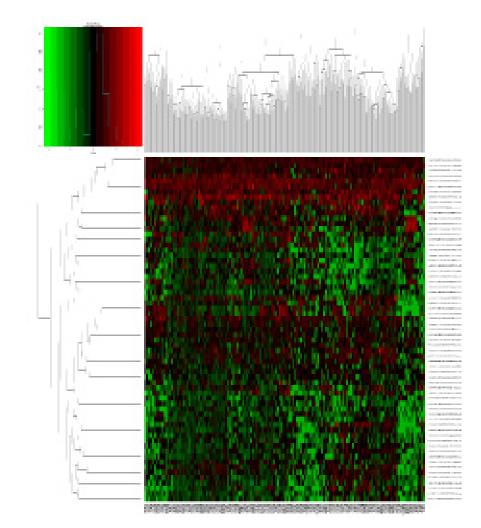


Figure 2. Heatmap Based on the Differentially Expressed lncRNAs in HCC Patients between with Tumor and without Tumor.

Table 3. Univariate and Multivariate Cox Regression Analysis for RFS in 338 HCC Patients

| | | Univariate Co | | | | iltivariate Co | | |
|-----------------------|---------|---------------|------|----------|---------|----------------|--------|-------|
| Variables | P-value | | | 5% CI | P-value | HR | 95% CI | |
| Age (>60/≤60) | 0.09 | 0.68 | 0.44 | 1.06 | | | | |
| BMI | 0.78 | | | | | | | |
| <25 | | Reference | | | | | | |
| ≥25 | | 1.15 | 0.68 | 1.95 | | | | |
| Not reported | | 0.9 | 0.34 | 2.38 | | | | |
| Race | 0.13 | | | | | | | |
| Non-Asian | | Reference | | | | | | |
| Asian | | 1.26 | 0.66 | 2.39 | | | | |
| Not reported | | 3.97 | 1 | 15.68 | | | | |
| AFP | 0 | | | | | | | |
| $\leq 20 ng/mL$ | | Reference | | | | Reference | | |
| >20ng/ml | | 2.81 | 1.65 | 4.76 | 0.04 | 1.55 | 1.01 | 2.35 |
| Not reported | | 2.09 | 1.06 | 4.11 | 0.35 | 1.25 | 0.78 | 1.98 |
| Gender (Male/Female) | 0.42 | 1.26 | 0.72 | 2.2 | | | | |
| Hepatitis B or C | 0.61 | | | | | | | |
| No | | Reference | | | | | | |
| Yes | | 0.75 | 0.42 | 1.35 | | | | |
| Not reported | | 0.97 | 0.35 | 2.7 | | | | |
| Alcohol | 0.36 | | | | | | | |
| No | | Reference | | | | | | |
| Yes | | 0.77 | 0.44 | 1.34 | | | | |
| Cirrhosis | 0.32 | | | | | | | |
| No | | Reference | | | | | | |
| Yes | | 1.4 | 0.8 | 2.42 | | | | |
| Not reported | | 1.5 | 0.77 | 2.91 | | | | |
| Histologic grade | 0.35 | | | | | | | |
| G1-2 | | Reference | | | | | | |
| G3-4 | | 0.86 | 0.57 | 1.3 | | | | |
| Not reported | | 2.46 | 0.58 | 10.39 | | | | |
| New tumor event | 0.75 | 4.75E+05 | 0 | 2.91E+40 | | | | |
| Pathologic stage | 0 | | | | | | | |
| Stage I+II | | Reference | | | | Reference | | |
| Stage III+IV | | 2.42 | 1.45 | 4.03 | 0 | 1.99 | 1.32 | 3.02 |
| Not reported | | 0.36 | 0.14 | 0.96 | 0.08 | 0.54 | 0.27 | 1.08 |
| Tumor Status | 0 | 2.66 | 1.49 | 4.75 | 0 | 15.21 | 9.19 | 25.16 |
| Family cancer history | 0.25 | | | | | | | |
| No | | Reference | | | | | | |
| Yes | | 0.98 | 0.58 | 1.66 | | | | |
| Not reported | | 0.49 | 0.21 | 1.17 | | | | |
| Residual tumor | 0.68 | | | | | | | |
| R0 | | Reference | | | | | | |
| Non-R0 | | 0.91 | 0.42 | 2 | | | | |
| Not reported | | 2.47 | 0.29 | 20.87 | | | | |
| Vascular invasion | 0.06 | | | | | | | |
| Negative | | Reference | | | | | | |
| Positive | | 1.33 | 0.8 | 2.2 | | | | |
| Not reported | | 0.58 | 0.28 | 1.19 | | | | |

BMI, Body mass index; AFP, Alpha fetoprotein; HR, Hazard ratio; CI, Confidence interval.

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| IncRNA | β | HR | Z | P-value |
|------------|--------|--------|------|----------|
| AC090921.1 | 0.2047 | 1.2271 | 2.88 | 0.0039 |
| AC012640.1 | 0.144 | 1.1549 | 1.5 | 0.1325 |
| AL158839.1 | 0.235 | 1.2649 | 2.31 | 0.0211 |
| AL356056.1 | 0.3872 | 1.4728 | 4.2 | 2.70E-05 |
| AL359853.1 | 0.2318 | 1.2609 | 2.57 | 0.0101 |
| C10orf91 | 0.1328 | 1.142 | 2.57 | 0.0102 |
| ID II I | | | | |

Table 4. Six lncRNAs Correlated with OS of HCC Patients with Tumor in the Best Statistical Model.

HR, Hazard ratio

that we analyzed in greater depth, and we found that six were related to OS and nine to RFS. We used these subsets of lncRNAs to develop risk scoring systems that were significantly associated with OS and RFS independently of other clinical characteristics. The scoring systems showed good prognostic performance for tumor-positive patients, giving AUCs of 0.76 for OS and 0.861 for RFS. In addition, we found that AFP was a risk factor for poor RFS among patients with tumors, in accordance with previous studies(Jin et al., 2017; Notarpaolo et al., 2017). Enrichment analysis of genes co-expressed with the prognostic lncRNAs revealed that genes associated with OS of HCC patients with tumors may be related to complement and coagulation cascades, while those associated with RFS may be related to the cell cycle.

The lncRNA expression-based risk system is simple to calculate, and it quantifies mortality risk as a number easily understood by patients. Our system is based on lncRNAs differentially expressed between patients with or without tumors, so it may be more specific to HCC patients with tumors than other risk scoring systems based on lncRNAs differentially expressed between HCC and healthy liver

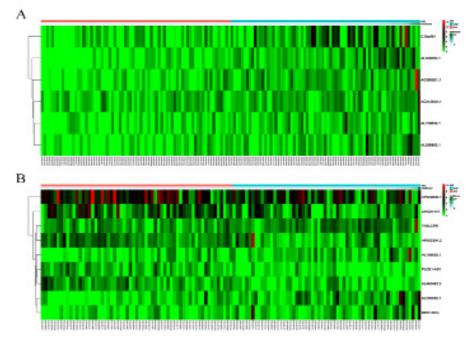


Figure 3. The Non-Cluster Risk Heat Map of lncRNA Expression-Based Risk Score System for OS(A) or RFS(B) in HCC Patients with Tumor. The value of risk rises gradually from left to right.

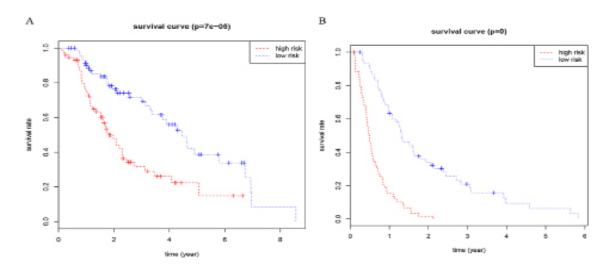


Figure 4. Kaplan-Meier Survival Curves for OS(A) or RFS(B) in HCC Patients with Tumor According to the Risk Cutoff point.

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| Table 5. Univariate and Multivariate | Cox Regression Analysis for | OS in HCC Patients with Tumor |
|--------------------------------------|-----------------------------|-------------------------------|

| P-value | HR | 959 | V _a CI | P-value | HR | 95% | CI |
|---------|--|--|--|--|--|--|--|
| | | | | | | | |
| 0 | 2.59 | 1.38 | 4.87 | 0 | 2.32 | 1.43 | 3.77 |
| 0.46 | 0.81 | 0.45 | 1.44 | | | | |
| 0.79 | | | | | | | |
| | Reference | | | | | | |
| | 1 | 0.53 | 1.88 | | | | |
| 0.54 | 1.43 | 0.45 | 4.55 | | | | |
| 0.77 | | | | | | | |
| | Reference | | | | | | |
| | 1.1 | 0.48 | 2.55 | | | | |
| 0.49 | 2.11 | 0.26 | 17.31 | | | | |
| | Reference | | | | | | |
| | 1.77 | 0.84 | 3.73 | | | | |
| | 2.42 | 1.04 | 5.63 | | | | |
| 0.14 | 1.63 | 0.85 | 3.14 | | | | |
| 1 | | | | | | | |
| | Reference | | | | | | |
| | 0.99 | 0.46 | 2.12 | | | | |
| | 0 | 0 | 4.02E+251 | | | | |
| 0.31 | | | | | | | |
| | Reference | | | | | | |
| | | 0.35 | 1.4 | | | | |
| 0.52 | | | | | | | |
| 0.02 | Reference | | | | | | |
| | | 0.61 | 4 22 | | | | |
| | | | | | | | |
| 0.5 | 1.07 | 0.00 | 2.95 | | | | |
| 0.0 | Reference | | | | | | |
| | | 0.55 | 1 99 | | | | |
| | | | | | | | |
| 0.25 | 0.51 | 0.04 | 2.20 | | | | |
| 0.25 | Reference | | | | | | |
| | | 0.32 | 2.85 | | | | |
| | | | | | | | |
| 0.12 | 0.5 | 0.55 | 19.9 | | | | |
| 0.12 | Dafaranaa | | | | | | |
| | | 1.02 | 2.04 | | | | |
| | | | | | | | |
| 0.12 | 1.87 | 0.72 | 4.88 | | | | |
| 0.12 | D C | | | | | | |
| | | 0.74 | 2.72 | | | | |
| | | | | | | | |
| <u></u> | 0.45 | 0.13 | 1.55 | | | | |
| 0.14 | D (| | | | | | |
| | | | | | | | |
| | | | | | | | |
| | 0.59 | 0.05 | 6.42 | | | | |
| 0 | | | | | | | |
| | | | | | | | |
| | 0.94 | | | | 0.99 | 0.54 | 1.81 4.32 |
| | 0.79 0.54 0.77 0.49 0.14 1 0.31 0.52 0.5 0.25 0.12 0.12 0.12 | $\begin{array}{cccccccccccccccccccccccccccccccccccc$ | $\begin{array}{cccccccccccccccccccccccccccccccccccc$ | $\begin{array}{cccccccccccccccccccccccccccccccccccc$ | $\begin{array}{c c c c c c c c c c c c c c c c c c c $ | $\begin{array}{cccccccccccccccccccccccccccccccccccc$ | $\begin{array}{cccccccccccccccccccccccccccccccccccc$ |

BMI, Body mass index; AFP, Alpha fetoprotein; HR, Hazard ratio; CI, Confidence interval.

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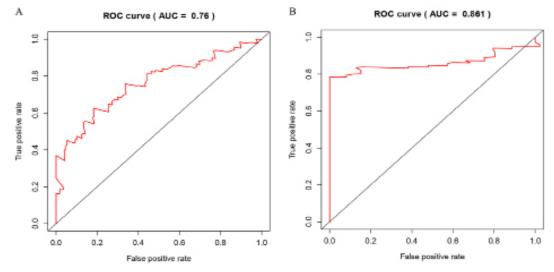


Figure 5. ROC Curves Analysis of the lncRNA Expression-Based Risk Score System for OS (A) or RFS (B)in HCC Patients with Tumor

Table 6. Nine IncRNAs Correlated with RFS of 338 HCC Patients with Tumor in the Best Statistical Model

| IncRNA | β | HR | Z | P-value |
|------------|---------|--------|-------|---------|
| PLCE1-AS1 | -0.4527 | 0.6359 | -3.57 | 0.00035 |
| AL158839.1 | 0.2805 | 1.3237 | 3.04 | 0.00233 |
| AL445493.2 | -0.2911 | 0.7474 | -2.62 | 0.0089 |
| TH2LCRR | 0.1879 | 1.2068 | 2.05 | 0.04045 |
| AP003354.2 | -0.2184 | 0.8038 | -2.23 | 0.02576 |
| LINC01447 | -0.0751 | 0.9276 | -1.53 | 0.12538 |
| AP000808.1 | -0.0984 | 0.9062 | -2.46 | 0.01379 |
| AL356056.1 | 0.2502 | 1.2843 | 3.03 | 0.00246 |
| MIR7-3HG | 0.2882 | 1.3341 | 3.45 | 0.00056 |

HR, Hazard ratio

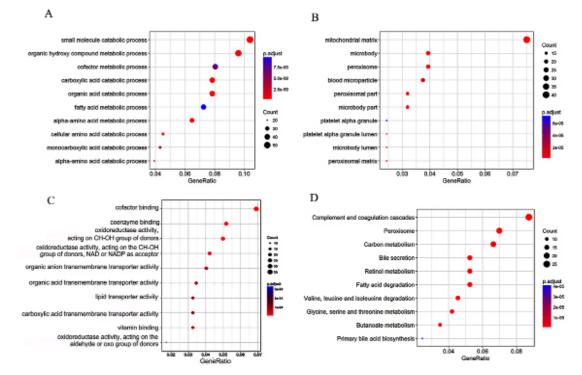


Figure 6. The Top 10 Significantly Enriched GO Annotation and Enriched KEGG Pathways of the Highly Related Genes of the lncRNAs of the Risk Score System for OS in HCC Patients with Tumor: (A) biological process, (B) cellular component, (C) molecular function. (D) KEGG pathway analysis.

| | | Univariate Co | - | | | Aultivariate Cox | - | |
|-----------------------|---------|---------------|------|-----------|---------|------------------|--------|------|
| Variables | P-value | ie HR 95% | | 5% CI | P-value | HR | 95% CI | |
| Risk score(high/low) | 0 | 3.45 | 2.05 | 5.82 | 0 | 3.86 | 2.48 | 6.01 |
| Age (>60/≤60) | 0.03 | 0.57 | 0.34 | 0.95 | | | | |
| BMI | 0.74 | | | | | | | |
| <25 | | Reference | | | | | | |
| ≥25 | | 0.85 | 0.47 | 1.54 | | | | |
| Not reported | | 0.68 | 0.24 | 1.95 | | | | |
| Race | 0.32 | | | | | | | |
| Non-Asian | | Reference | | | | | | |
| Asian | | 1.21 | 0.6 | 2.43 | | | | |
| Not reported | | 3.54 | 0.64 | 19.68 | | | | |
| AFP | 0.02 | | | | | | | |
| ≤ 20 ng/mL | | Reference | | | | Reference | | |
| >20ng/ml | | 2.22 | 1.21 | 4.09 | 0.02 | 1.75 | 1.09 | 2.82 |
| Not reported | | 1.92 | 0.8 | 4.64 | 0.24 | 1.35 | 0.82 | 2.21 |
| Gender (Male/Female) | 0.92 | 0.97 | 0.54 | 1.76 | | | | |
| Hepatitis B or C | 0.83 | | | | | | | |
| No | | Reference | | | | | | |
| Yes | | 1.2 | 0.61 | 2.35 | | | | |
| Not reported | | 1.37 | 0.39 | 4.83 | | | | |
| Alcohol | 0.75 | | | | | | | |
| No | | Reference | | | | | | |
| Yes | | 0.91 | 0.5 | 1.65 | | | | |
| Cirrhosis | 0.88 | | | | | | | |
| No | | Reference | | | | | | |
| Yes | | 1.02 | 0.49 | 2.1 | | | | |
| Not reported | | 1.21 | 0.56 | 2.6 | | | | |
| Histologic grade | 0.2 | | | | | | | |
| G1-2 | | Reference | | | | | | |
| G3-4 | | 0.73 | 0.45 | 1.19 | | | | |
| Not reported | | 2.51 | 0.57 | 11.01 | | | | |
| New tumor event | 0.96 | 3.53E+06 | 0 | 1.20E+273 | | | | |
| Pathologic stage | 0.01 | | | | | | | |
| Stage I+II | | Reference | | | | Reference | | |
| Stage III+IV | | 1.8 | 1.02 | 3.19 | 0.13 | 1.44 | 0.9 | 2.29 |
| Not reported | | 0.43 | 0.15 | 1.23 | 0.06 | 0.51 | 0.25 | 1.03 |
| Family cancer history | 0.05 | | | | | | | |
| No | | Reference | | | | | | |
| Yes | | 0.84 | 0.46 | 1.52 | | | | |
| Not reported | | 0.33 | 0.13 | 0.81 | | | | |
| Residual tumor | 0.89 | 0.00 | 0.12 | 0.01 | | | | |
| R0 | 0.07 | Reference | | | | | | |
| Non-R0 | | 1.09 | 0.48 | 2.46 | | | | |
| Not reported | | 1.66 | 0.18 | 15.11 | | | | |
| Vascular invasion | 0.01 | 1.00 | 0.10 | 12.11 | | | | |
| Negative | 0.01 | Reference | | | | Reference | | |
| Positive | | 1.96 | 1.09 | 3.49 | 0.37 | 1.23 | 0.78 | 1.94 |
| Not reported | | 0.63 | 0.26 | 1.48 | 0.37 | 0.75 | 0.78 | 1.94 |

Table 7. Univariate and Multivariate Cox Regression Analysis for RFS in HCC Patients with Tumor

BMI, Body mass index; AFP, Alpha fetoprotein; HR, Hazard ratio; CI, Confidence interval.

IncRNA Expression-Based Risk Scoring System for Survival of Hepatocellular Carcinoma Patients

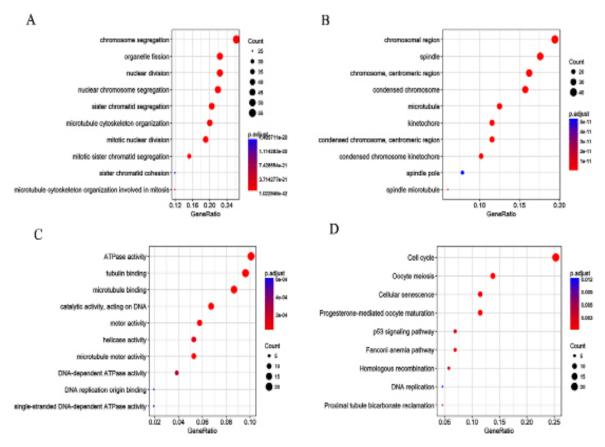


Figure 7. The Top 10 Significantly Enriched GO Annotation and Enriched KEGG Pathways of the Highly Related Genes of the lncRNAs of the Risk Score System for RFS in HCC Patients with Tumor: (A) biological process, (B) cellular component, (C) molecular function. (D) KEGG pathway analysis.

tissues(Gu et al., 2018; Liao et al., 2018; Ma et al., 2018; Shi et al., 2018; Sui et al., 2018; Wu et al., 2018c; Zhao et al., 2018; Yan et al., 2019). Indeed, the DElncRNAs in our risk scoring systems differ from those in the previously published systems. To our knowledge, the present study is the first to construct a risk scoring system to predict survival in HCC patients with tumors.

Nevertheless, our work should be interpreted and applied carefully because of several limitations. First, the publicly available data did not report on HCC treatments, so we could not take into account their potential confounding effects on OS and RFS. Second, our sample was small and lncRNAs were screened and validated for risk scoring within the same TCGA data set, so our system still needs to be validated externally. The scoring system should be assessed in other patient populations. Third, we did not divide up patients into training and testing data sets, nor did we perform Gene Set Enrichment Analysis (GSEA). Fourth, we did not perform experiments with tissues or cells to validate the differential expression or involvement of prognostic lncRNAs in HCC. These limitations need to be addressed in future studies.

In conclusion, We have derived a novel lncRNA expression-based risk scoring system for predicting the survival of HCC patients with tumors, which may be a clinically useful tool to individualize HCC therapy.

Author Contribution Statement

Siyao Wu: Formal analysis, Data Curation and Writing - Original Draft; Yayan Deng: Visualization and Writing -Original Draft; Yue Luo: Data Curation and Visualization; Zhihui Liu: Methodology, Validation and Writing - Review & Editing, Supervision; Jiaxiang Ye: Conceptualization, Methodology, Writing - Review & Editing, Supervision and Funding acquisition

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Appendix

Appendix 1: The results of lncRNA-mRNA coexpression analysis for predicting OS in tumor positive patients.

Appendix 2: The results of lncRNA-mRNA coexpression analysis or predicting RFS in tumor positive patients.

Ethical Statement

Since the data is from the TCGA, our study did not require an ethical board approval.

Declaration of Competing Interest

The authors have no conflicts of interest to declare.

Data Availability Statement Data are provided within the article.

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