

## RESEARCH ARTICLE

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# Risk factors of Esophageal Cancer in Punjab- A Case Control Study

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### Abstract

**Background:** Esophageal cancer is a significant health concern globally. In Punjab, where pesticides use and heavy metal exposure are widespread esophageal squamous cell carcinoma is among the leading cancers. This study aims to investigate the association between these environmental factors and esophageal carcinoma, for instituting preventive strategies. **Methods:** We conducted a case-control study in Punjab, with 380 carcinoma cases and 760 age, gender, and district-matched controls from hospital and community settings. The participants completed a case report form with validated questions on risk factors. The urine, water, oral cytology, blood, and esophageal biopsies samples were collected from a subset of the population to evaluate pesticide metabolites, heavy metal exposure, cytological changes and infections like *H. pylori* and HPV. **Findings:** The mean age of cases was  $57.17 \pm 9.54$  (SD) years, similar to that of controls,  $56.96 \pm 8.93$  (SD) years ( $p > 0.05$ ). The key risk factors for esophageal carcinoma were, Dimethylphosphate presence in urine (5.41 (95% CI: 1.42-20.67,  $p < 0.05$ ), tobacco use (OR 1.60, 95% CI 1.24-2.06,  $p < 0.001$ ), alcohol use (OR 1.65, 95% CI 1.31-2.08,  $p < 0.001$ ) and hot beverages (OR 1.81, 95% CI 1.44-2.28,  $p < 0.001$ ), with population attributable fraction of 86.7%, 10.8%, 16.5%, and 16.7%, respectively.. The daily intake of fruits (OR 0.74, 95% CI 0.59-0.92,  $p = 0.008$ ) and vegetables (OR 0.81, 95% CI 0.65-0.99,  $p = 0.045$ ) had protective association, with inadequate intake contributing to 27% and 26.8% of risk, respectively. The exposure to heavy metals from drinking water was higher in both groups but not statistically significant. **Interpretation:** This study confirms established risk factors like tobacco, alcohol, and diet contribute to esophageal squamous cell carcinoma in Punjab, while identifying pesticide exposure as a new risk factor. It calls for stricter regulations, public health interventions, and further research into environmental risks.

**Keywords:** Carcinoma esophagus- risk factors- prevention- pesticides- case-control study

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### Introduction

Esophageal carcinoma is the eighth most common cancer globally. Esophageal squamous cell carcinoma (ESCC), which accounts for approximately 80% of all esophageal cancer cases, has a complex and multifactorial aetiology. Its incidence varies significantly across geographic regions and has changed over time. Numerous studies, including those from India, have explored the roles of environmental exposures, dietary habits, cultural practices, genetic mutations, and polymorphisms in the development of ESCC [1, 2]. Given the extremely poor prognosis of ESCC and the limited prospects for early detection or effective treatment, a deeper understanding of its risk factors is essential. Such insights could offer

valuable opportunities for primary prevention.

In the Punjab state of India, esophageal carcinoma ranks among the top five cancers in the Malwa region. Among males, the age-adjusted incidence rates (AAR) per 100,000 population were reported as 6.2 in SAS Nagar, 5.6 in Sangrur, 4.9 in Chandigarh, and 3.8 in Mansa. For females, the AARs were 7.0 in Mansa, 6.2 in Sangrur, and 3.3 in SAS Nagar [3]. Punjab is also known for its intensive agricultural practices and widespread pesticide use. Exploring the potential link between pesticide exposure and ESCC is especially relevant in regions where agriculture plays a central role in the economy. While a few studies conducted in the state suggest an association between pesticide toxicity and the occurrence of various cancers [4], specific evidence linking pesticide exposure

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to ESCC remains limited [4]. Similarly, although reports have highlighted heavy metal toxicity in the region, [5] its role in the development of ESCC has not yet been investigated. This gap in knowledge highlights the need for focused research to generate relevant data and evidence.

The objective of this study is to investigate the potential association between pesticide and heavy metal exposure, along with other established risk factors, and the occurrence of esophageal squamous cell carcinoma (ESCC) in Punjab.

## Materials and Methods

**Study design:** A matched case-control study was conducted to assess the prevalence of risk factors and their association with the occurrence of esophageal squamous cell carcinoma in Punjab, India.

**Study setting:** The study was carried out at a tertiary care referral hospital in Northern India between 2018 and 2023 [6]. The data collection took place from 2019 to 2022, with slower and intermittent recruitment during the COVID-19 pandemic period (2020–2021).

### Study participants

**Cases:** The patients aged 30–74 years with histologically confirmed primary invasive ESCC, who had resided in Punjab for at least the past five years, were included as cases. They were recruited from the gastroenterology and radiotherapy departments at PGIMER, Chandigarh, and through population-based cancer registries in Sahibzada Ajit Singh Nagar and Chandigarh.

### Controls

For each case, two age- ( $\pm 5$  years), sex-, and district-matched controls were recruited from both hospital and community settings. The hospital-based controls were selected from the gastroenterology and hematology departments and had no mucosal abnormalities in the esophagus. The community-based controls were drawn from the same geographic population as the cases. The matching consistency was monitored periodically throughout the data collection period. The participants with a personal or family history of upper gastrointestinal malignancies, gastroesophageal reflux disease (GERD), Barrett's esophagus, esophageal inflammation, or ulcers were excluded. A written informed consent was obtained from all participants.

### Data collection and study variables

The diagnostic confirmation of Esophageal Squamous Cell Carcinoma cases was done through biopsy, while control participants were confirmed to be free of abnormal esophageal mucosal changes and symptoms. The major exposures included ever use of tobacco, ever consumption of alcohol, consumption of burning hot tea, and dietary habits such as daily intake of fruits and vegetables. The environmental and occupational exposures included use of underground water, involvement in pesticide mixing/loading/application, proximity ( $<3$  km) to farmland where pesticides are applied, exposure to fumigants, and animal contact. The potential confounders included living

area, education, socioeconomic status, and other lifestyle factors, while age, gender, and district of residence were accounted for through individual matching.

The data on lifestyle, occupational, dietary, and environmental risk factors were collected using a pre-designed, pilot-tested, interviewer-administered questionnaire. The information on all the variables was self-reported by participants. The oral health was assessed by clinical examination, including the identification of edentulism and calculation of the DMFT score. All assessments were conducted similarly for cases and controls using standardized tools and procedures by the trained personnel. The biological sampling and laboratory analyses (e.g., for pesticide metabolites and heavy metals) followed the same collection and testing protocols across groups, ensuring comparability.

The selection bias was minimized through individual matching of controls to cases based on age ( $\pm 5$  years), gender, and district of residence. The information bias was addressed by using a structured questionnaire and standardized clinical examination procedures. The measurement bias was reduced by applying uniform protocols across cases and controls and blinding laboratory staff to case/control status.

### Laboratory analysis

The urine samples were collected from 300 participants (100 cases, 200 controls), with every 3rd enrolled participant providing a sample; 100 (50 cases, 50 controls) were randomly selected for pesticide metabolite analysis using LC-MS.

Similarly, the drinking water samples from 300 participants (100 cases, 200 controls) were analyzed for heavy metals via ICP-MS (Agilent 7500). The oral brush cytology samples ( $n=300$ ) were collected in SurePath media and processed using liquid-based cytology. H. pylori IgG antibodies were measured using an ELISA kit (Calbiotech, USA). DNA from esophageal biopsies was extracted (Qiagen) for HPV detection via PCR and gel electrophoresis, followed by L1 gene sequencing for genotyping.

### Sample size

The sample size for the case and control groups was determined with two controls per case, assuming a 5% significance level, two-sided alpha risk of 5%, 90% power, a 10% non-response rate to detect the smallest risk (OR of 2.00 for exposure to pesticides on ESCC and 8.35% prevalence of the risk factor in community [4] and an adjustment of about 15% for confounders.

### Statistical analysis

The descriptive statistics used to analyze the quantitative data included frequencies, percentages, means, and standard deviations. The multivariate analysis was performed with using disease diagnosis as dependent variable and independent variables included known and putative risk factors. For questionnaire-based data, conditional logistic regression was used to calculate adjusted odds ratios (OR) and 95% CIs. The SPSS version 26, (with  $p < 0.05$  considered as significant) was

used for performing statistical analysis. The unadjusted OR, using unconditional logistic regression, assessed the association of pesticide metabolites in urine and heavy metals in water with ESCC using subgroup analysis. The population attributable fraction for each risk factor was determined using,  $Pe \times (OR - 1) / OR$ , where  $Pe$  refers to the proportion of cases that had been exposed to the risk factor [7].

For pesticide metabolite analysis, the concentrations above the limit of detection were used as an indicative for presence of pesticide and the geometric mean concentration for each metabolite in urine samples was calculated. For heavy metals analysis, data was presented as median and interquartile range due to non-uniform data distribution. The sensitivity analysis was performed using a complete-case approach, excluding participants with missing data to minimize bias. The non-parametric tests were also used for skewed laboratory variables to reduce the influence of extreme values and assess the robustness of results.

## Results

A total of 446 patients with histologically confirmed ESCC were deemed eligible for the study. Of these, 66 patients were excluded due to their inability to complete both the questionnaire and biological sample collection, primarily owing to poor health status. Thus, 380 ESCC cases were included in the final analysis. Among controls, 816 hospital-based individuals were initially eligible. However, 56 were excluded due to individual matching issues, resulting in 760 matched controls for the final analysis (Figure 1).

The mean age of ESCC cases was  $57.17 \pm 9.54$  (SD) years, comparable to that of controls  $56.96 \pm 8.93$  (SD) years ( $p > 0.05$ ). However, significant differences were observed in educational attainment ( $p < 0.05$ ), with 41.6% of cases being illiterate compared to 24.3% of controls. A greater proportion of cases were engaged in elementary occupations (15.8%) and skilled agricultural

work (18.2%) compared to controls. Additionally, a higher percentage of cases belonged to the lower (13.7%) and upper-lower (49.7%) socioeconomic classes compared to controls (8.0% and 45.1%, respectively) (Table 1).

The unadjusted and adjusted odds ratios (ORs) with 95% CIs for the risk factors were calculated to assess associations with ESCC. The adjusted ORs were derived from multivariable logistic regression, controlling for age, sex, education, occupation, socioeconomic status, and relevant environmental factors, selected based on prior evidence and their relevance as potential confounders.

On multivariate analysis, ever use of tobacco was associated with a higher risk compared to never use (aOR 1.60, 95% CI 1.24-2.06,  $p < 0.001$ ), as was ever use of alcohol compared to never use (aOR 1.65, 95% CI 1.31-2.08,  $p < 0.001$ ). The consumption of burning hot tea was also identified as a significant risk factor, with adjusted ORs of 1.81 with 95% CI (1.44-2.28,  $p < 0.001$ ). The consumption of fruits at least once per day was found to be associated with a lower risk of ESCC compared to those who consumed fruits less than once per day (aOR 0.74, 95% CI 0.59-0.92,  $p = 0.008$ ). Similarly, consuming vegetables at least once per day was also found to be associated with a lower risk of ESCC compared to consumers of vegetables in frequencies of less than once per day (aOR 0.81, 95% CI 0.65-0.99,  $p = 0.045$ ). The use of underground water was associated with a higher risk compared to no use (aOR 1.49, 95% CI 1.21-1.83,  $p < 0.001$ ). Similarly, being edentulous (having no teeth) was found to be associated with a higher risk compared to having more than 20 teeth present (aOR 1.48, 95% CI 1.09-2.05,  $p = 0.014$ ). The involvement in pesticides mixing, loading & application is also found to increase the risk. (aOR 1.38, 95% CI 1.01-1.89,  $p = 0.04$ ). The vegetables consumption less than once per day, fruits consumption less than once per day, consumption of very hot tea, alcohol consumption, tobacco use, underground water use, being edentulous and being involved in pesticide application were found to have 27%, 26.78%, 16.72%, 16.48%, 10.75%, 10.21%, 5.38% and 5.36%

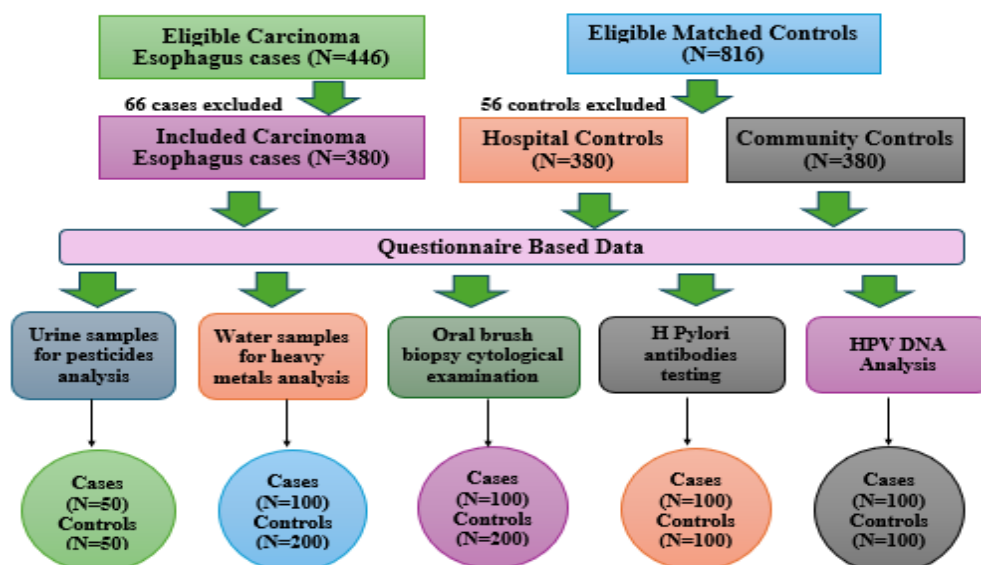


Figure1. Flow Diagram of Sampling Strategy

Table 1. Sociodemographic Characteristics of Carcinoma Esophagus Cases and Controls in Punjab

| Sociodemographic variable                                                | Carcinoma esophagus cases (N=380) | Controls (N=760)   | p-value |
|--------------------------------------------------------------------------|-----------------------------------|--------------------|---------|
| Mean age (SD)                                                            | 57.17 (9.54) years                | 56.96 (8.93) years | 0.714   |
| Age group                                                                |                                   |                    |         |
| 31-40                                                                    | 30 (7.9)                          | 60 (7.9)           | 0.996   |
| 41-50                                                                    | 65 (17.1)                         | 127 (16.7)         |         |
| 51-60                                                                    | 134 (35.3)                        | 273 (35.9)         |         |
| 61-70                                                                    | 151 (39.7)                        | 300 (39.5)         |         |
| Gender                                                                   |                                   |                    |         |
| Males                                                                    | 224 (58.9)                        | 448 (58.9)         | 1       |
| Females                                                                  | 156 (41.1)                        | 312 (41.1)         |         |
| Education                                                                |                                   |                    |         |
| Illiterate                                                               | 158 (41.6)                        | 185 (24.3)         | <0.001  |
| Primary                                                                  | 54 (14.2)                         | 128 (16.8)         |         |
| Middle school                                                            | 44 (11.6)                         | 67 (8.8)           |         |
| High school                                                              | 79 (20.8)                         | 157 (20.7)         |         |
| Intermediate                                                             | 10 (2.6)                          | 87 (11.4)          |         |
| Graduation or professionals                                              | 35 (9.2)                          | 136 (17.9)         |         |
| Religion                                                                 |                                   |                    |         |
| Hindu                                                                    | 225 (59.21)                       | 326 (42.89)        | <0.001  |
| Sikh                                                                     | 152 (40)                          | 425 (55.92)        |         |
| Muslims                                                                  | 3 (0.78)                          | 3 (0.39)           |         |
| Christians                                                               | 0 (00)                            | 6 (0.78)           |         |
| Area of living                                                           |                                   |                    |         |
| Rural                                                                    | 287 (75.5)                        | 497 (65.4)         | <0.001  |
| Urban                                                                    | 93 (24.5)                         | 263 (34.6)         |         |
| Occupation (As per International Standard Classification of Occupation,) |                                   |                    |         |
| Armed forces occupations                                                 | 2 (0.5)                           | 0 (00)             | 0.005   |
| Clerical support workers                                                 | 2 (0.5)                           | 6 (0.8)            |         |
| Craft and related trade workers * *                                      | 172 (45.3)                        | 339 (44.6)         |         |
| Elementary occupation                                                    | 60 (15.8)                         | 86 (11.3)          |         |
| Managers                                                                 | 21 (5.5)                          | 33 (4.3)           |         |
| Plant and machine operators,                                             | 7 (1.8)                           | 16 (2.1)           |         |
| Professionals                                                            | 27 (7.1)                          | 74 (9.7)           |         |
| Service and sales workers                                                | 11 (2.9)                          | 36 (4.7)           |         |
| Skilled agriculture ,and forestry workers                                | 69 (18.2)                         | 118 (15.5)         |         |
| Technicians & Associate professors                                       | 9 (2.4)                           | 52 (6.8)           |         |
| Body mass index                                                          |                                   |                    |         |
| <18.5 kg/m <sup>2</sup>                                                  | 61 (16.1)                         | 15 (2.0)           | <0.001  |
| 18.5-22.9 kg/m <sup>2</sup>                                              | 130 (34.3)                        | 200 (26.4)         |         |
| 23.0-24.9 kg/m <sup>2</sup>                                              | 56 (14.8)                         | 157 (20.7)         |         |
| >25 kg/m <sup>2</sup>                                                    | 132 (34.8)                        | 387 (51.0)         |         |
| Socio economic status (BG Prasad scale)                                  |                                   |                    |         |
| Lower                                                                    | 52 (13.7)                         | 61 (8.0)           | 0.001   |
| Upper lower                                                              | 189 (49.7)                        | 343 (45.1)         |         |
| Lower middle                                                             | 108 (28.4)                        | 263 (34.6)         |         |
| Upper middle                                                             | 31 (8.2)                          | 93 (12.2)          |         |

Footnote: Figures in the parenthesis represent percentages \*\*Craft and related trade workers (include homemakers)



Table 2. Association of Conventional Factors with Occurrence of Carcinoma Esophagus in Punjab

| Risk factors                                                           | Cases<br>(N=380) | Controls<br>(N=760) | p-value | Unadjusted odds ratio<br>95% CI, | Adjusted odds ratio 95%<br>CI * | Population<br>attributable<br>risk |
|------------------------------------------------------------------------|------------------|---------------------|---------|----------------------------------|---------------------------------|------------------------------------|
| Ever tobacco use                                                       | 109 (28.7)       | 87 (11.4)           | <0.001  | 1.84 (1.46-2.33), <0.001         | 1.60 (1.24-2.06), <0.001        | 10.75%                             |
| Ever alcohol consumption                                               | 159 (41.8)       | 177 (23.3)          | <0.001  | 1.66 (1.35-2.04), <0.001         | 1.65 (1.31-2.08), <0.001        | 16.48%                             |
| Consumption of burning hot tea                                         | 142 (37.4)       | 108 (14.2)          | <0.001  | 1.98 (1.60-2.45), <0.001         | 1.81 (1.44-2.28), <0.001        | 16.72%                             |
| Fruits intake ( $\geq 1$ time per day)                                 | 30 (7.9)         | 160 (21.1)          | <0.001  | 0.71 (0.58-0.87), <0.001         | 0.74 (0.59-0.92), 0.008         | 26.70%                             |
| Vegetables intake ( $\geq 1$ time per day)                             | 33 (8.7)         | 178 (23.4)          | <0.001  | 0.70 (0.58-0.85), <0.001         | 0.81 (0.65-0.99), 0.045         | 27%                                |
| Use of underground water                                               | 118 (31.1)       | 165 (21.7)          | <0.001  | 1.24 (1.03-1.49), 0.025          | 1.49 (1.21-1.83), <0.001        | 10.21%                             |
| Edentulous                                                             | 63 (16.6)        | 73 (9.6)            | <0.001  | 1.53 (1.13-2.08), 0.006          | 1.48 (1.09-2.05), 0.014         | 5.38%                              |
| Involved in pesticides mixing, loading & application                   | 74 (19.5)        | 81 (10.7)           | <0.001  | 1.39 (1.09-1.75), 0.007          | 1.38 (1.01-1.89), 0.0391        | 5.36%                              |
| Distance of <3 km from the farmland where pesticide application occurs | 280 (73.7)       | 514 (67.6)          | <0.036  | 1.12 (0.95-1.33), 0.182          | 1.01 (0.83-1.23), 0.922         |                                    |
| Exposure to fumigants                                                  | 179 (47.1)       | 258 (33.9)          | <0.001  | 1.27 (1.08-1.51), 0.005          | 1.14 (0.95-1.38), 0.152         |                                    |
| Contact with animals (Ruminants, canines, poultry etc.)                | 237 (62.4)       | 370 (48.7)          | <0.001  | 1.26 (1.07-1.47), 0.005          | 1.15 (0.95-1.39), 0.156         |                                    |

Footnotes: Figures in the parenthesis represent percentages; Abbreviation- OR, odds ratio; CI, confidence interval; \*Adjusted for living area, education, socioeconomic status, tobacco use, alcohol use, hot beverages consumption, fresh fruits and green vegetables intake, animal contact, fumigants use, drinking water source, working on fields, involvement in pesticides application. Age, gender and districts were matched at time of data collection

population attributable fraction respectively [Table 2].

In urine sample analysis on subset of the study participants, among ESCC cases (N=50), 96% (48/50) had at least one detectable pesticide metabolite (equal to or above the limit of detection) in their urine samples, while among controls (N=50), 70% (35/50) had detectable pesticide metabolites. ( $p<0.05$ ). The unadjusted OR for the presence of any pesticide metabolite was found to be 10.28 (95% CI: 2.21-47.90,  $p<0.05$ ). Dimethylphosphate (DMP), a common metabolite of organophosphates, was detected in 92% of ESCC cases and 68% of controls. ( $p<0.05$ ). The unadjusted OR for the presence of DMP is 5.41 (95% CI: 1.42-20.67,  $p<0.05$ ), suggesting a significantly higher likelihood of its presence in ESCC cases compared to controls. Similarly, Methamidophos (Acephate), was detected in 14% cases and 8% controls. ( $p>0.05$ ). (Table 3) Among ESCC cases, the geometric mean level of DMP was 25.51  $\mu\text{g/L}$ , while in controls, the geometric mean level was significantly lower at 6.86  $\mu\text{g/L}$  ( $p<0.05$ ) (Table 3). The mean levels of Aminomethyl Phosphonic Acid were higher in cases (133.40  $\mu\text{g/L}$ ) as compared to controls (55.68  $\mu\text{g/L}$ ). ( $p>0.05$ ). No significant differences were observed for other pesticides, including Methamidophos and Chlorpyrifos.

The uranium was present in 286 out of 300 water

samples. In cases, 7.44% exceeded the permissible limit (30  $\mu\text{g/L}$ ), compared to 18.23% in controls. For selenium, detected in 255 water samples, 8.33% of cases exceeded the 10  $\mu\text{g/L}$  limit, while 12.28% of controls did. The arsenic was detected in 299 samples, with 3% of cases and 8.04% of controls surpassing the 10  $\mu\text{g/L}$  limit. Mercury was detected in 226 samples, with 5.33% of cases and 8.60% of controls exceeding the 1  $\mu\text{g/L}$  limit. For the levels of heavy metals, median values (IQR) were calculated and the concentrations were as follows: Uranium (67.23  $\mu\text{g/L}$  vs 114.17  $\mu\text{g/L}$ ), Selenium (25.51  $\mu\text{g/L}$  vs 28.59  $\mu\text{g/L}$ ), Arsenic (11.34  $\mu\text{g/L}$  vs 36.69  $\mu\text{g/L}$ ), and Mercury (8.28  $\mu\text{g/L}$  vs 1.92  $\mu\text{g/L}$ ) for cases and controls, respectively. Nickel's median concentration was 15.83  $\mu\text{g/L}$ , while iron was detected at 429.48  $\mu\text{g/L}$  in controls (Table 4).

For liquid-based oral cytology, 81% of ESCC cases and 78.5% controls showed squamous epithelial cells along with bacterial colonies. None of the cases well as controls showed evidence of intraepithelial lesions or malignancy. About 29.20% serum samples of ESCC cases were found to be positive for H pylori infection, while among controls it was 26.28% serum. ( $p>0.05$ ). For Human Papilloma Virus (HPV), 3.57% ESCC cases were found to be positive, while among controls none of the case was positive for HPV. ( $p>0.05$ ). On genotyping,

Table 3. Various Pesticide Metabolites in the Urine Samples of Carcinoma Esophagus Cases and Controls from Punjab

| Type of pesticide metabolite***                           | Limit of detection<br>( $\mu\text{g/L}$ ) | Carcinoma esophagus<br>cases (N=50) | Controls<br>(N=50) | Total<br>(N=100) | p-value |
|-----------------------------------------------------------|-------------------------------------------|-------------------------------------|--------------------|------------------|---------|
| Any pesticide metabolite (at least 1)                     | -                                         | 48(96)                              | 35(70)             | 83 (83)          | <0.001  |
| Dimethylphosphate (Common metabolite of organophosphates) | 7.46                                      | 46 (92)                             | 34 (68)            | 80(80)           | 0.003   |
| Methamidophos (Acephate)                                  | 2.71                                      | 7(14)                               | 4 (8)              | 11(11)           | 0.338   |

Footnote: Figures in the parenthesis represent percentages; Abbreviation; Carcinoma esophagus cases, Esophageal Squamous Cell Carcinoma cases; CI, Confidence Interval

Table 4. Concentrations of the Heavy Metals (Detected in Quantities above the Permissible Limits) in Drinking Water Samples Taken from Carcinoma Esophagus Cases and Controls in Punjab

| Heavy metal      | Permissible limit (µg/L) | ESCC cases  | Cases Median (IQR) | Controls       | Controls (Median (IQR)) | P-value (Median test) |
|------------------|--------------------------|-------------|--------------------|----------------|-------------------------|-----------------------|
| Uranium (N=286)  | 30                       | 7/94 (7.44) | 67.23 (53.23)**    | 35/192 (18.23) | 114.17 (293.96)         | 0.408                 |
| Selenium (N=255) | 10                       | 7/84 (8.33) | 25.51 (25.82)      | 21/171 (12.28) | 28.59 (65.39)           | 1                     |
| Arsenic (N=299)  | 10                       | 3/100 (3)   | 11.34              | 16/199 (8.04)  | 36.69 (85.97)           | 1                     |
| Mercury (N=226)  | 1                        | 4/75 (5.33) | 8.28 (11)          | 13/151 (8.60)  | 1.92(1)                 | 0.294                 |
| Nickel (N=127)   | 10                       | 0/39 (00)   | -                  | 7/88 (7.95)    | 15.83 (40.89)           |                       |
| Iron (N=83)      | 300                      | 0/9 (00)    | -                  | 2/74 (2.70)    | 429.48                  |                       |

Footnote: Figures in the parenthesis represent percentages; The denominator in ESCC and Controls section represent the number of positive samples for presence of heavy metal, however numerator represents the number of samples having heavy metals content above the permissible limits.

\*\* the unit of measurement for levels of heavy metals was µg/L

HPV type 6 was detected in positive samples.

## Discussion

The study in Punjab identified major ESCC risk factors, including tobacco and alcohol use, hot beverages, low fruit and vegetable intake, edentulism, and underground water use. Notably, urinary dimethylphosphate a marker of pesticide exposure was significantly associated with ESCC, highlighting an emerging risk in agricultural regions. These findings align with the study's objective to assess both established and novel risk factors.

Several socioeconomic factors, such as lower education and income levels, were associated with increased ESCC risk. Although low socioeconomic status has been identified as a risk factor in previous studies, our findings suggest it may influence cancer risk indirectly through its impact on health behaviors, environmental exposures, and health literacy [8, 9]. These associations are consistent with global evidence but highlight region-specific challenges in Punjab, where widespread agricultural practices and pesticide use may exacerbate risk.

The tobacco smokers in the study had approximately twice the odds of developing ESCC compared to controls, consistent with previous epidemiological findings [10, 11]. In Northeast India, the use of betel quid and chewing tobacco has been identified as a significant ESCC risk factor [12, 13]. In the present study, although higher odds of ESCC were observed among tobacco chewers in Punjab, the association was not statistically significant ( $p > 0.05$ ). The population attributable fraction for tobacco use was also lower than that reported in other studies [14, 15].

Similarly, alcohol consumption was significantly associated with an increased risk of ESCC, in line with findings from several studies across different Indian states [16, 17]. The population attributable fraction for alcohol use in the current study was higher than previously reported [15]. A regular consumption of very hot tea was also associated with a significantly elevated risk of ESCC, consistent with earlier research [18].

Our findings also support the protective role of fruit and vegetable intake, aligning with earlier research highlighting their importance in lowering cancer risk

[19, 20]. Previous studies have reported low consumption of fruits and green vegetables among the population in Punjab [21]. Additionally, edentulism was associated with higher odds of developing ESCC in this study, consistent with findings from previous research [22].

In contrast to some previous studies, no significant association was observed between red meat consumption, pickle intake, or contact with animals and ESCC risk in this study [23, 24]. Additionally, although fumigants have been implicated in cancer risk elsewhere, their link to ESCC remained inconclusive, indicating the need for further investigation [25].

Despite extensive research, the association between pesticide exposure and ESCC remains inconclusive. In this study, questionnaire-based data showed no significant risk associated with farm work exposure, consistent with findings from a study in Tanzania [26]. However, individuals involved in pesticide mixing, loading, and application had higher odds of developing ESCC (OR: 1.38) compared to those not involved, aligning with findings from previous studies [4, 16].

Rather than relying on proxy measures to assess agrochemical exposure, precise biomonitoring is essential for a clearer understanding of associated health risks. In this study, dimethylphosphate was detected five times more frequently in ESCC patients than controls, with significantly higher mean concentrations among cases. While few studies have explored the link between DMP and ESCC risk in the general population [27], the absence of reference values for urinary pesticide metabolites limits meaningful interpretation. Additionally, the presence of aminomethylphosphonic acid, a breakdown product of glyphosate, suggests ongoing exposure likely from illegal pesticide sales highlighting the urgent need for stronger market regulation and enforcement to ensure public health safety.

Heavy metal exposure, particularly to uranium, selenium, and arsenic, was notable in this study. Previous research has reported elevated levels of uranium and selenium in Punjab's drinking water, and our findings further support these concerns [28, 29]. Arsenic, a Group 1 carcinogen classified by IARC, and mercury, a Group 2B possible carcinogen, were detected above permissible limits. Additionally, nickel and iron levels exceeded safe thresholds; however, current evidence linking these metals

to ESCC risk remains limited. These findings highlight the need for ongoing monitoring and assessment of heavy metals in drinking water sources in the region.

In current study the percentage of study subjects found to be positive for HPV infection were found to be low in comparison to previous studies and is not found to be risk factor for ESCC [30]. Similar to previous literature, no significant association between, H Pylori and ESCC is found in current study [31].

This is the first case-control study in Punjab to investigate the association between pesticide metabolites and ESCC. The strengths of this study include age, gender, and region matching; adjustment for key confounders; a large sample size; diverse exposure assessments; and detailed lifestyle data. However, limitations include potential recall and interviewer bias, residual confounding despite adjustments, and limited generalizability due to recruitment from a single tertiary hospital and the study being confined to Punjab, which has a distinct agrarian population.

This study underscores the urgent need for stricter pesticide regulation, particularly organophosphates, in agricultural regions like Punjab. The public health efforts should target modifiable risks, such as tobacco and alcohol use, while promoting oral hygiene and healthy diets. The future research should focus on precise pesticide exposure assessments, cancer mechanisms, and the role of heavy metals using robust designs. The long-term studies and meta-analyses are essential to confirm findings and guide policies on environmental and occupational safety, integrating environmental health into cancer prevention strategies in rural areas.

## Author Contribution Statement

HK: Conceptualization, Methodology, Data curation, Investigation Writing – original draft, Writing – review & editing. JST: Conceptualization, Funding acquisition, Resources, Critical review, Analysis UD: Conceptualization, Resources, Critical review, Analysis, Review. SA: Resources, Review. NG: Resources, Review. MPS: Resources, Review, KV: Review, AKY: Investigation, Review. RD: Review, All the authors approved the final manuscript as submitted and agreed to be accountable for all aspects of the work.

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## Study Approval

The study was conducted as part of a doctoral research project and was approved by the competent authority of the Postgraduate Institute of Medical Education and Research, Chandigarh.

## Ethical approval

An ethical clearance was obtained from the institutional ethical review committee of Postgraduate Institute of Medical Education and Research (PGIMER, Chandigarh) (Ref no. NK/4352/PhD/5319, Dated 21.09.2018).

## Data availability statement

The datasets generated during and/or analyzed during the current study are available from the corresponding author on reasonable request.

## Conflict of interest statement

The authors disclose that there are no competing financial or non-financial interests or conflicts of interest that may have influenced the outcome or interpretation of this study.

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